

GLUING DERIVED EQUIVALENCES TOGETHER

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ABSTRACT. The Grothendieck construction of a diagram X of categories can be seen as a process to construct a single category $\mathrm{Gr}(X)$ by gluing categories in the diagram together. Here we formulate diagrams of categories as colax functors from a small category I to the 2-category $\mathbf{k}\text{-}\mathbf{Cat}$ of small \mathbf{k} -categories for a fixed commutative ring \mathbf{k} . In our previous paper we defined derived equivalences of those colax functors. Roughly speaking two colax functors $X, X': I \rightarrow \mathbf{k}\text{-}\mathbf{Cat}$ are derived equivalent if there is a derived equivalence from $X(i)$ to $X'(i)$ for all objects i in I satisfying some “ I -equivariance” conditions. In this paper we glue the derived equivalences between $X(i)$ and $X'(i)$ together to obtain a derived equivalence between Grothendieck constructions $\mathrm{Gr}(X)$ and $\mathrm{Gr}(X')$, which shows that if colax functors are derived equivalent, then so are their Grothendieck constructions. This generalizes and well formulates the fact that if two \mathbf{k} -categories with a G -action for a group G are “ G -equivariantly” derived equivalent, then their orbit categories are derived equivalent. As an easy application we see by a unified proof that if two \mathbf{k} -algebras A and A' are derived equivalent, then so are the path categories AQ and $A'Q$ for any quiver Q ; so are the incidence categories AS and $A'S$ for any poset S ; and so are the monoid algebras AG and $A'G$ for any monoid G . Also we will give examples of gluing of many smaller derived equivalences together to have a larger derived equivalence.

Keywords: Grothendieck constructions, 2-categories, lax functors, colax functors, pseudofunctors, derived equivalences

1. INTRODUCTION

Under the preparations in [6] we complete our project of the title in this paper. We fix a small category I and a commutative ring \mathbf{k} and denote by $\mathbf{k}\text{-}\mathbf{Cat}$ (resp. $\mathbf{k}\text{-}\mathbf{Ab}$, $\mathbf{k}\text{-}\mathbf{Tri}$) the 2-category of small \mathbf{k} -categories (resp. abelian \mathbf{k} -categories, triangulated \mathbf{k} -categories). For a \mathbf{k} -category \mathcal{C} a (right) \mathcal{C} -module is a contravariant functor from \mathcal{C} to the category $\mathrm{Mod}\,\mathbf{k}$ of \mathbf{k} -modules, and we denote by $\mathrm{Mod}\,\mathcal{C}$ (resp. $\mathrm{Prj}\,\mathcal{C}$, $\mathrm{prj}\,\mathcal{C}$) the category of \mathcal{C} -modules (resp. projective \mathcal{C} -modules, finitely generated projective \mathcal{C} -modules). When we deal with derived equivalences, we usually assume that \mathbf{k} is a field because Keller’s theorem in [11] or [12] on derived equivalences of categories requires that the \mathbf{k} -categories in consideration are \mathbf{k} -flat or \mathbf{k} -projective.

A \mathbf{k} -category \mathcal{C} with an action of a group G have been well investigated in connection with a so-called covering technique in representation theory of algebras (see e.g., [8]). The orbit category \mathcal{C}/G and the canonical functor $\mathcal{C} \rightarrow \mathcal{C}/G$ are naturally constructed from these data, and one studied relationships between $\mathrm{Mod}\,\mathcal{C}$ and $\mathrm{Mod}\,\mathcal{C}/G$.

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We brought this point of view to the derived equivalence classification problem of algebras in [1], and a main tool obtained there was fully used in the derived equivalence classifications in [2, 3]. The main tool was extended in [4] in the following form:

Theorem 1.1. *Let G be a group acting on categories \mathcal{C} and \mathcal{C}' . Assume that \mathcal{C} is \mathbb{k} -flat and that the following condition is satisfied:*

- (*) *There exists a G -stable tilting subcategory E of $\mathcal{K}^b(\text{prj } \mathcal{C})$ such that there is a G -equivariant equivalence $\mathcal{C}' \rightarrow E$.*

Then the orbit categories \mathcal{C}/G and \mathcal{C}'/G are derived equivalent.

(In the above, \mathcal{C} is called \mathbb{k} -flat if all morphism spaces are flat \mathbb{k} -modules, and E is said to be G -stable if the set of objects in E is stable under the G -action on $\mathcal{K}^b(\text{prj } \mathcal{C})$ induced from that on \mathcal{C} .) Observe that if we regard G as a category with a single object $*$, then a G -action on a category \mathcal{C} is nothing but a functor $X : G \rightarrow \mathbb{k}\text{-Cat}$ with $X(*) = \mathcal{C}$; and the orbit category \mathcal{C}/G coincides with (the \mathbb{k} -linear version of) the Grothendieck construction $\text{Gr}(X)$ of X defined in [10].

The purpose of this paper is to generalize this theorem to an arbitrary category I and to any colax functors¹ $X, X' : I \rightarrow \mathbb{k}\text{-Cat}$ (roughly speaking a colax functor X is a family $(X(i))_{i \in I_0}$ of \mathbb{k} -categories indexed by the objects i of I with an action of I , the precise definition is given in Definition 2.1). Recall that if \mathcal{C} is a category with an action of a group G , then the module category $\text{Mod } \mathcal{C}$ (resp. the derived category $\mathcal{D}(\text{Mod } \mathcal{C})$) has the induced G -action; thus both of them are again categories with G -actions. Hence for a colax functor X the “module category” $\text{Mod } X$ (resp. the “derived category” $\mathcal{D}(\text{Mod } X)$) should again be a family of categories with an I -action, i.e., a colax functor from I to $\mathbb{k}\text{-Ab}$ (resp. to $\mathbb{k}\text{-Tri}$). In addition, we need a notion of equivalences between colax functors for two purposes:

- (a) to generalize the statement (*); and
- (b) to define a derived equivalence of colax functors X, X' by an existence of an equivalence between colax functors $\mathcal{D}(\text{Mod } X)$ and $\mathcal{D}(\text{Mod } X')$.

To define equivalences of objects we need notions of 1-morphisms and 2-morphisms, thus we need a 2-categorical structure on the collection of colax functors, i.e., it is needed to define a 2-category $\overleftarrow{\text{Colax}}(I, \mathbf{C})$ of all colax functors from I to a 2-category \mathbf{C} , which can be used for both (a) and (b) above. Having these things in mind we see that to generalize the theorem above we have to solve the following problems:

- (1) Define the “module category” of a colax functor again as a colax functor.
- (2) Define the “derived category” of a colax functor as a colax functor.
- (3) Give a natural definition of an equivalence between colax functors using 2-morphisms of the 2-category of colax functors.
- (4) Give a condition on a 1-morphism between colax functors to be an equivalence.
- (5) Give a natural definition of a derived equivalence between colax functors by the equivalence (defined in (3)) of their “derived categories” defined in (2).

¹In [6] we called them *oplax* functors. There are two versions of Grothendieck constructions: (1) for contravariant lax functors and (2) for covariant colax functors. Since skew group algebras are formulated as the second version we deal with colax functors here. See [4, Example 2.12].

(6) Characterize the existence of derived equivalences of colax functors by tilting subcategories, which turns out to be a generalization of Rickard's Morita theorem for colax functors.

(7) Induce a derived equivalence of Grothendieck constructions of colax functors from the existence of tilting subcategories, which will be a generalization of the theorem above.

In our previous paper [6] we have solved the problems (1) – (6) and made clear the meaning of the condition $(*)$ in the setting of colax functors. In this paper we solve the problem (7), and in addition we give a unified way to solve (1) and (2) using the following general statement on compositions with pseudofunctors (cf. Gordon–Power–Street [9, Subsection 5.6]):

Theorem (Theorem 6.5). *Let \mathbf{B}, \mathbf{C} and \mathbf{D} be 2-categories and $V: \mathbf{C} \rightarrow \mathbf{D}$ a pseudo-functor. Then the obvious correspondence (see subsection 9.1 for details)*

$$\overleftarrow{\text{Colax}}(\mathbf{B}, V): \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C}) \rightarrow \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$$

turns out to be a pseudofunctor.

The solutions of (1) and (2) use the correspondence on objects given by the pseudo-functor $\overleftarrow{\text{Colax}}(\mathbf{B}, V)$. The correspondence on 1-morphisms is needed also to solve (7). The following is our main result (see Definition 7.4 for definitions):

Theorem (Theorem 8.2). *Let $X, X' \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})$. Assume that X is \mathbb{k} -flat and that there exists a tilting colax functor \mathcal{T} for X such that \mathcal{T} and X' are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})$. Then $\text{Gr}(X)$ and $\text{Gr}(X')$ are derived equivalent.*

Note that there is an easier way (Lemma 7.1, a solution of (4)) to verify that \mathcal{T} and X' are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})$ in the above. As an easy application, the theorem above gives a unified proof of the following.

Theorem (Theorem 8.5). *Assume that \mathbb{k} is a field and that \mathbb{k} -algebras A and A' are derived equivalent. Then the following pairs are derived equivalent as well:*

- (1) *path-categories AQ and $A'Q$ for any quiver Q ;*
- (2) *incidence categories AS and $A'S$ for any poset S ; and*
- (3) *monoid algebras AG and $A'G$ for any monoid G .*

Theorem 8.2 can be used to glue many derived equivalences together as shown in Example 8.6.

The paper is organized as follows. In section 2 we recall the definition of the 2-category $\overleftarrow{\text{Colax}}(I, \mathbf{C})$ of colax functors from a category I to a 2-category \mathbf{C} . In section 3 we first define a diagonal 2-functor $\Delta: \mathbb{k}\text{-}\mathbf{Cat} \rightarrow \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})$ in an obvious way, and introduce a notion of I -coverings $(F, \psi): X \rightarrow \Delta(\mathcal{C})$ for a colax functor $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})_0$ and $\mathcal{C} \in \mathbb{k}\text{-}\mathbf{Cat}_0$ (the subscript 0 stands for objects) as a generalization of G -coverings for a group G . In section 4 we define a \mathbb{k} -linear version of Grothendieck construction as a 2-functor $\text{Gr}: \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat}) \rightarrow \mathbb{k}\text{-}\mathbf{Cat}$ and introduce the canonical morphism $(P, \phi): X \rightarrow \Delta(\text{Gr}(X))$. In section 5 we will show that the

Grothendieck construction is a strict left adjoint to the diagonal 2-functor with a unit given by the family of canonical morphisms, in particular, this shows that the canonical morphism $(P, \phi): X \rightarrow \Delta(\mathrm{Gr}(X))$ is an I -covering and any other I -covering $X \rightarrow \Delta(\mathcal{C})$ is given as the composite of this followed by $\Delta(H)$ for an equivalence $H: \mathrm{Gr}(X) \rightarrow \mathcal{C}$. This will be used in the proof of the main result. In section 6 we redefine the module colax functor $\mathrm{Mod} X: I \rightarrow \mathbb{k}\text{-}\mathbf{Ab}$ and its derived colax functor $\mathcal{D}(\mathrm{Mod} X): I \rightarrow \mathbb{k}\text{-}\mathbf{Tri}$ for a colax functor $X \in \overleftarrow{\mathrm{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})_0$ by using Theorem 6.5. In addition, we also define $\mathcal{K}^b(\mathrm{prj} X)$ for $X \in \overleftarrow{\mathrm{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})_0$ and show that this construction preserves I -precoverings, which is also used in the proof of the main result. It is obvious that the definitions given here coincide with those given in our previous paper [6]. In section 7 we recall the definition of derived equivalences of colax functors in $\overleftarrow{\mathrm{Colax}}(I, \mathbb{k}\text{-}\mathbf{Cat})$ and the theorem characterizing the derived equivalence by tilting colax functors (Theorem 7.5). In section 8 we give a proof of Theorem 8.2, and give some applications including an example of gluing of pieces of derived equivalences together to have a larger one. In the last section we give a proof of Theorem 6.5.

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2. PRELIMINARIES

In this section we recall the definition of the 2-category of colax functors from I to a 2-category from [6] (see also Tamaki [15]).

Definition 2.1. Let \mathbf{C} be a 2-category. A *colax functor* (or an *oplax functor*) from I to \mathbf{C} is a triple (X, η, θ) of data:

- a quiver morphism $X: I \rightarrow \mathbf{C}$, where I and \mathbf{C} are regarded as quivers by forgetting additional data such as 2-morphisms or compositions;

- a family $\eta := (\eta_i)_{i \in I_0}$ of 2-morphisms $\eta_i: X(\mathbb{1}_i) \Rightarrow \mathbb{1}_{X(i)}$ in \mathbf{C} indexed by $i \in I_0$; and
- a family $\theta := (\theta_{b,a})_{(b,a)}$ of 2-morphisms $\theta_{b,a}: X(ba) \Rightarrow X(b)X(a)$ in \mathbf{C} indexed by $(b,a) \in \text{com}(I) := \{(b,a) \in I_1 \times I_1 \mid ba \text{ is defined}\}$

satisfying the axioms:

- (a) For each $a: i \rightarrow j$ in I the following are commutative:

$$\begin{array}{ccc} X(a\mathbb{1}_i) \xRightarrow{\theta_{a,\mathbb{1}_i}} X(a)X(\mathbb{1}_i) & & X(\mathbb{1}_j a) \xRightarrow{\theta_{\mathbb{1}_j,a}} X(\mathbb{1}_j)X(a) \\ & \searrow \parallel \downarrow X(a)\eta_i & \searrow \parallel \downarrow \eta_j X(a) \\ & X(a)\mathbb{1}_{X(i)} & \mathbb{1}_{X(j)}X(a) \end{array} \quad \text{and} \quad ; \text{ and}$$

- (b) For each $i \xrightarrow{a} j \xrightarrow{b} k \xrightarrow{c} l$ in I the following is commutative:

$$\begin{array}{ccc} X(cba) & \xRightarrow{\theta_{c,ba}} & X(c)X(ba) \\ \theta_{cb,a} \downarrow & & \downarrow X(c)\theta_{b,a} \\ X(cb)X(a) & \xRightarrow{\theta_{c,b}X(a)} & X(c)X(b)X(a). \end{array}$$

Definition 2.2. Let \mathbf{C} be a 2-category and $X = (X, \eta, \theta)$, $X' = (X', \eta', \theta')$ be colax functors from I to \mathbf{C} . A 1-morphism (called a *left transformation*) from X to X' is a pair (F, ψ) of data

- a family $F := (F(i))_{i \in I_0}$ of 1-morphisms $F(i): X(i) \rightarrow X'(i)$ in \mathbf{C} ; and
- a family $\psi := (\psi(a))_{a \in I_1}$ of 2-morphisms $\psi(a): X'(a)F(i) \Rightarrow F(j)X(a)$

$$\begin{array}{ccc} X(i) & \xrightarrow{F(i)} & X'(i) \\ X(a) \downarrow & \swarrow \psi(a) & \downarrow X'(a) \\ X(j) & \xrightarrow{F(j)} & X'(j) \end{array}$$

in \mathbf{C} indexed by $a: i \rightarrow j$ in I_1

satisfying the axioms

- (a) For each $i \in I_0$ the following is commutative:

$$\begin{array}{ccc} X'(\mathbb{1}_i)F(i) \xRightarrow{\psi(\mathbb{1}_i)} F(i)X(\mathbb{1}_i) & & \\ \eta'_i F(i) \downarrow & & \downarrow F(i)\eta_i \\ \mathbb{1}_{X'(i)}F(i) = F(i)\mathbb{1}_{X(i)} & & \end{array} \quad ; \text{ and}$$

(b) For each $i \xrightarrow{a} j \xrightarrow{b} k$ in I the following is commutative:

$$\begin{array}{ccccc} X'(ba)F(i) & \xRightarrow{\theta'_{b,a}F(i)} & X'(b)X'(a)F(i) & \xRightarrow{X'(b)\psi(a)} & X'(b)F(j)X(a) \\ \psi(ba) \Downarrow & & & & \Downarrow \psi(b)X(a) \\ F(k)X(ba) & \xRightarrow{F(k)\theta_{b,a}} & F(k)X(b)X(a). & & \end{array}$$

A 1-morphism $(F, \psi): X \rightarrow X'$ is said to be *I-equivariant* if $\psi(a)$ is a 2-isomorphism in \mathbf{C} for all $a \in I_1$.

Definition 2.3. Let \mathbf{C} be a 2-category, $X = (X, \eta, \theta)$, $X' = (X', \eta', \theta')$ be colax functors from I to \mathbf{C} , and (F, ψ) , (F', ψ') 1-morphisms from X to X' . A 2-morphism from (F, ψ) to (F', ψ') is a family $\zeta = (\zeta(i))_{i \in I_0}$ of 2-morphisms $\zeta(i): F(i) \Rightarrow F'(i)$ in \mathbf{C} indexed by $i \in I_0$ such that the following is commutative for all $a: i \rightarrow j$ in I :

$$\begin{array}{ccc} X'(a)F(i) & \xRightarrow{X'(a)\zeta(i)} & X'(a)F'(i) \\ \psi(a) \Downarrow & & \Downarrow \psi'(a) \\ F(j)X(a) & \xRightarrow{\zeta(j)X(a)} & F'(j)X(a). \end{array}$$

Definition 2.4. Let \mathbf{C} be a 2-category, $X = (X, \eta, \theta)$, $X' = (X', \eta', \theta')$ and $X'' = (X'', \eta'', \theta'')$ be colax functors from I to \mathbf{C} , and let $(F, \psi): X \rightarrow X'$, $(F', \psi'): X' \rightarrow X''$ be 1-morphisms. Then the composite $(F', \psi')(F, \psi)$ of (F, ψ) and (F', ψ') is a 1-morphism from X to X'' defined by

$$(F', \psi')(F, \psi) := (F'F, \psi' \circ \psi),$$

where $F'F := ((F'(i)F(i)))_{i \in I_0}$ and for each $a: i \rightarrow j$ in I , $(\psi' \circ \psi)(a) := F'(j)\psi(a) \circ \psi'(a)F(i)$ is the pasting of the diagram

$$\begin{array}{ccccc} X(i) & \xrightarrow{F(i)} & X'(i) & \xrightarrow{F'(i)} & X''(i) \\ \downarrow X(a) & \swarrow \psi(a) & \downarrow X'(a) & \swarrow \psi'(a) & \downarrow X''(a) \\ X(j) & \xrightarrow{F(j)} & X'(j) & \xrightarrow{F'(j)} & X''(j). \end{array}$$

The following is straightforward to verify.

Proposition 2.5. Let \mathbf{C} be a 2-category. Then colax functors $I \rightarrow \mathbf{C}$, 1-morphisms between them, and 2-morphisms between 1-morphisms (defined above) define a 2-category, which we denote by $\overleftarrow{\text{Colax}}(I, \mathbf{C})$.

Notation 2.6. Let \mathbf{C} be a 2-category. Then we denote by \mathbf{C}^{op} (resp. \mathbf{C}^{co}) the 2-category obtained from \mathbf{C} by reversing the 1-morphisms (resp. the 2-morphisms), and we set $\mathbf{C}^{\text{coop}} := (\mathbf{C}^{\text{co}})^{\text{op}} = (\mathbf{C}^{\text{op}})^{\text{co}}$.

3. I -COVERINGS

In this section we introduce the notion of I -coverings that is a generalization of that of G -coverings for a group G introduced in [4], which was obtained by generalizing the notion of Galois coverings introduced by Gabriel in [8]. This will be used in the proof of our main theorem.

Definition 3.1. We define a 2-functor $\Delta: \mathbb{k}\text{-Cat} \rightarrow \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ as follows, which is called the *diagonal* 2-functor:

- Let $\mathcal{C} \in \mathbb{k}\text{-Cat}$. Then $\Delta(\mathcal{C})$ is defined to be a functor sending each morphism $a: i \rightarrow j$ in I to $\mathbb{1}_{\mathcal{C}}: \mathcal{C} \rightarrow \mathcal{C}$.
- Let $E: \mathcal{C} \rightarrow \mathcal{C}'$ be a 1-morphism in $\mathbb{k}\text{-Cat}$. Then $\Delta(E): \Delta(\mathcal{C}) \rightarrow \Delta(\mathcal{C}')$ is a 1-morphism (F, ψ) in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ defined by $F(i) := E$ and $\psi(a) := \mathbb{1}_E$ for all $i \in I_0$ and all $a \in I_1$:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{E} & \mathcal{C}' \\ \mathbb{1}_{\mathcal{C}} \downarrow & \swarrow \mathbb{1}_E & \downarrow \mathbb{1}_{\mathcal{C}'} \\ \mathcal{C} & \xrightarrow{E} & \mathcal{C}' \end{array}$$

- Let $E, E': \mathcal{C} \rightarrow \mathcal{C}'$ be 1-morphisms in $\mathbb{k}\text{-Cat}$, and $\alpha: E \Rightarrow E'$ a 2-morphism in $\mathbb{k}\text{-Cat}$. Then $\Delta(\alpha): \Delta(E) \Rightarrow \Delta(E')$ is a 2-morphism in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ defined by $\Delta(\alpha) := (\alpha)_{i \in I_0}$.

Remark 3.2. Let \mathbf{C} be a 2-category, $X = (X, \eta, \theta) \in \overleftarrow{\text{Colax}}(I, \mathbf{C})$, and $C \in \mathbf{C}_0$. Further let

- F be a family of 1-morphisms $F(i): X(i) \rightarrow C$ in \mathbf{C} indexed by $i \in I_0$; and
- ψ be a family 2-morphisms $\psi(a): F(i) \Rightarrow F(j)X(a)$ indexed by $a: i \rightarrow j$ in I :

$$\begin{array}{ccc} X(i) & \xrightarrow{F(i)} & C \\ X(a) \downarrow & \swarrow & \parallel \\ X(j) & \xrightarrow{F(j)} & C \end{array}$$

Then (F, ψ) is in $\overleftarrow{\text{Colax}}(I, \mathbf{C})(X, \Delta(C))$ if and only if the following hold.

- (a) For each $i \in I_0$ the following is commutative:

$$\begin{array}{ccc} F(i) & \xRightarrow{\psi(\mathbb{1}_i)} & F(i)X(\mathbb{1}_i) \\ & \searrow & \downarrow F(i)\eta_i \\ & & F(i)\mathbb{1}_{X(i)} \end{array} \quad ; \text{ and}$$

(b) For each $i \xrightarrow{a} j \xrightarrow{b} k$ in I the following is commutative:

$$\begin{array}{ccc} F(i) & \xrightarrow{\psi(a)} & F(j)X(a) \\ \psi(ba) \Downarrow & & \Downarrow \psi(b)X(a) \\ F(k)X(ba) & \xrightarrow{F(k)\theta_{b,a}} & F(k)X(b)X(a). \end{array}$$

Definition 3.3. Let $\mathcal{C} \in \mathbb{k}\text{-Cat}$ and $(F, \psi): X \rightarrow \Delta(\mathcal{C})$ be in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then

(1) (F, ψ) is called an *I-precovering* (of \mathcal{C}) if the homomorphism

$$(F, \psi)_{x,y}^{(1)}: \bigoplus_{a \in I(i,j)} X(j)(X(a)x, y) \rightarrow \mathcal{C}(F(i)x, F(j)y)$$

of \mathbb{k} -modules defined by $(f_a: X(a)x \rightarrow y)_{a \in I(i,j)} \mapsto \sum_{a \in I(i,j)} F(j)(f_a) \circ \psi(a)(x)$ is an isomorphism for all $i, j \in I_0$ and all $x \in X(i)_0, y \in X(j)_0$.

(2) (F, ψ) is called an *I-covering* if it is an *I-precovering* and is *dense*, i.e., for each $c \in \mathcal{C}_0$ there exists an $i \in I_0$ and $x \in X(i)_0$ such that $F(i)(x)$ is isomorphic to c in \mathcal{C} .

4. GROTHENDIECK CONSTRUCTIONS

In this section we define a 2-functor $\text{Gr}: \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat}) \rightarrow \mathbb{k}\text{-Cat}$ whose correspondence on objects is a \mathbb{k} -linear version of (the opposite version of) the original Grothendieck construction (cf. [15]).

Definition 4.1. We define a 2-functor $\text{Gr}: \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat}) \rightarrow \mathbb{k}\text{-Cat}$, which is called the *Grothendieck construction*.

On objects. Let $X = (X, \eta, \theta) \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})_0$. Then $\text{Gr}(X) \in \mathbb{k}\text{-Cat}_0$ is defined as follows.

- $\text{Gr}(X)_0 := \bigcup_{i \in I_0} \{i\} \times X(i)_0 = \{ix := (i, x) \mid i \in I_0, x \in X(i)_0\}$.
- For each $ix, jy \in \text{Gr}(X)_0$, we set

$$\text{Gr}(X)(ix, jy) := \bigoplus_{a \in I(i,j)} X(j)(X(a)x, y).$$

- For each $ix, jy, kz \in \text{Gr}(X)_0$ and each $f = (f_a)_{a \in I(i,j)} \in \text{Gr}(X)(ix, jy)$, $g = (g_b)_{b \in I(j,k)} \in \text{Gr}(X)(jy, kz)$, we set

$$g \circ f := \left(\sum_{\substack{a \in I(i,j) \\ b \in I(j,k) \\ c = ba}} g_b \circ X(b)f_a \circ \theta_{b,a}x \right)_{c \in I(i,k)},$$

where each summand is the composite of

$$X(ba)x \xrightarrow{\theta_{b,a}x} X(b)X(a)x \xrightarrow{X(b)f_a} X(b)y \xrightarrow{g_b} z.$$

- For each $ix \in \text{Gr}(X)_0$ the identity $\mathbb{1}_{ix}$ is given by

$$\mathbb{1}_{ix} = (\delta_{a, \mathbf{1}_i} \eta_i x)_{a \in I(i, i)} \in \bigoplus_{a \in I(i, i)} X(i)(X(a)x, x),$$

where δ is the Kronecker delta².

On 1-morphisms. Let $X = (X, \eta, \theta), X' = (X', \eta', \theta')$ be objects of $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ and $(F, \psi): X \rightarrow X'$ a 1-morphism in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then a 1-morphism

$$\text{Gr}(F, \psi): \text{Gr}(X) \rightarrow \text{Gr}(X')$$

in $\mathbb{k}\text{-Cat}$ is defined as follows.

- For each $ix \in \text{Gr}(X)_0$, $\text{Gr}(F, \psi)(ix) := {}_i(F(i)x)$.
- For each $ix, jy \in \text{Gr}(X)_0$ and each $f = (f_a)_{a \in I(i, j)} \in \text{Gr}(X)(ix, jy)$, we set $\text{Gr}(F, \psi)(f) := (F(j)f_a \circ \psi(a)x)_{a \in I(i, j)}$, where each entry is the composite of

$$X'(a)F(i)x \xrightarrow{\psi(a)x} F(j)X(a)x \xrightarrow{F(j)f_a} F(j)y.$$

On 2-morphisms. Let $X = (X, \eta, \theta), X' = (X', \eta', \theta')$ be objects of $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ and $(F, \psi), (F', \psi'): X \rightarrow X'$ 1-morphisms in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$, and let $\zeta: (F, \psi) \Rightarrow (F', \psi')$ be a 2-morphism in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then a 2-morphism

$$\text{Gr}(\zeta): \text{Gr}(F, \psi) \Rightarrow \text{Gr}(F', \psi')$$

in $\mathbb{k}\text{-Cat}$ is defined by

$$\text{Gr}(\zeta)_{ix} := (\delta_{a, \mathbf{1}_i} \zeta(i)x)_{a \in I(i, i)}: {}_i(F(i)x) \rightarrow {}_i(F'(i)x)$$

in $\text{Gr}(X')$ for each $ix \in \text{Gr}(X)_0$.

Example 4.2. Let A be a \mathbb{k} -algebra regarded as a \mathbb{k} -category with a single object. Then $A \in \mathbb{k}\text{-Cat}_0$. Consider the functor $X := \Delta(A): I \rightarrow \mathbb{k}\text{-Cat}$. Then it is straightforward to verify the following.

- (1) If I is a free category defined by the quiver $1 \rightarrow 2$, then $\text{Gr}(X)$ is isomorphic to the triangular algebra $\begin{bmatrix} A & 0 \\ A & A \end{bmatrix}$.
- (2) If I is a free category defined by a quiver Q , then $\text{Gr}(X)$ is isomorphic to the path-category AQ of Q over A .
- (3) If I is a poset S , then $\text{Gr}(X)$ is isomorphic to the incidence category AS of S over A .
- (4) If I is a monoid G , then $\text{Gr}(X)$ is isomorphic to the monoid algebra³ AG of G over A .

In (3) above, AS is defined to be the factor category of the path-category AQ modulo the ideal generated by the full commutativity relations in Q , where Q is the Hasse diagram of S regarded as a quiver by drawing an arrow $x \rightarrow y$ if $x \leq y$ in Q . If S is a finite poset, then AS is identified with the usual incidence algebra.

²This is used to mean that the a -th component is $\eta_i x$ if $a = \mathbf{1}_i$, and 0 otherwise.

³Since AG has the identity $1_A 1_G$, this is regarded as a category with a single object.

See [7] for further examples of the Grothendieck constructions of functors, in which the examples (2) and (3) above are unified and generalized.

Definition 4.3. Let $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. We define a left transformation $(P_X, \phi_X) := (P, \phi): X \rightarrow \Delta(\text{Gr}(X))$ (called the *canonical morphism*) as follows.

- For each $i \in I_0$, the functor $P(i): X(i) \rightarrow \text{Gr}(X)$ is defined by

$$\begin{cases} P(i)x := {}_i x \\ P(i)f := (\delta_{a, \mathbf{1}_i} f \circ (\eta_i x))_{a \in I(i, i)}: {}_i x \rightarrow {}_i y \text{ in } \text{Gr}(X) \end{cases}$$

for all $f: x \rightarrow y$ in $X(i)$.

- For each $a: i \rightarrow j$ in I , the natural transformation $\phi(a): P(i) \Rightarrow P(j)X(a)$

$$\begin{array}{ccc} X(i) & \xrightarrow{P(i)} & \text{Gr}(X) \\ X(a) \downarrow & \swarrow \phi(a) & \parallel \\ X(j) & \xrightarrow{P(j)} & \text{Gr}(X) \end{array}$$

is defined by $\phi(a)x := (\delta_{b, a} \mathbf{1}_{X(a)x})_{b \in I(i, j)}$ for all $x \in X(i)_0$.

Lemma 4.4. The (P, ϕ) defined above is a 1-morphism in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$.

Proof. This is straightforward by using Remark 3.2. □

Proposition 4.5. Let $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then the canonical morphism $(P, \phi): X \rightarrow \Delta(\text{Gr}(X))$ is an I -covering. More precisely, the morphism

$$(P, \phi)_{x, y}^{(1)}: \bigoplus_{a \in I(i, j)} X(j)(X(a)x, y) \rightarrow \text{Gr}(X)(P(i)x, P(j)y)$$

is the identity for all $i, j \in I_0$ and all $x \in X(i)_0, y \in X(j)_0$.

Proof. By the definitions of $\text{Gr}(X)_0$ and of P it is obvious that (P, ϕ) is dense. Let $i, j \in I_0$ and $x \in X(i), y \in X(j)$. We only have to show that

$$(P, \phi)_{x, y}^{(1)}: \bigoplus_{a \in I(i, j)} X(j)(X(a)x, y) \rightarrow \text{Gr}(X)(P(i)x, P(j)y)$$

is the identity. Let $f = (f_a)_{a \in I(i,j)} \in \bigoplus_{a \in I(i,j)} X(j)(X(a)x, y)$. Then

$$\begin{aligned}
 (P, \phi)_{x,y}^{(1)}(f) &= \sum_{a \in I(i,j)} P(j)(f_a) \circ \phi(a)x \\
 &= \sum_{a \in I(i,j)} (\delta_{b,1_j} f_a \circ (\eta_j x))_{b \in I(j,j)} \circ (\delta_{c,a} \mathbb{1}_{X(a)x})_{c \in I(i,j)} \\
 &= \sum_{a \in I(i,j)} \left(\sum_{\substack{b \in I(j,j) \\ c \in I(i,j) \\ d=bc}} \delta_{b,1_j} f_a \circ (\eta_j x) \circ \delta_{c,a} \mathbb{1}_{X(b)X(a)x} \circ \theta_{b,c} x \right)_{d \in I(i,j)} \\
 &= \sum_{a \in I(i,j)} (\delta_{d,a} f_a \circ (\eta_j x) \circ \mathbb{1}_{X(1_j)X(a)x} \circ \theta_{1_j,a} x)_{d \in I(i,j)} \\
 &= (f_a \circ (\eta_j x) \circ \theta_{1_j,a} x)_{a \in I(i,j)} = (f_a)_{a \in I(i,j)} \\
 &= f,
 \end{aligned}$$

as required. \square

Lemma 4.6. *Let $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})_0$ and $H: \text{Gr}(X) \rightarrow \mathcal{C}$ be in $\mathbb{k}\text{-Cat}$ and consider the composite 1-morphism $(F, \psi): X \xrightarrow{(P, \phi)} \Delta(\text{Gr}(X)) \xrightarrow{\Delta(H)} \Delta(\mathcal{C})$. Then (F, ψ) is an I -covering if and only if H is an equivalence.*

Proof. Obviously (F, ψ) is dense if and only if so is H . Further for each $i, j \in I_0$, $x \in X(i)$ and $y \in X(j)$, $(F, \psi)_{x,y}^{(1)}$ is an isomorphism if and only if so is $H_{ix, jy}$ because we have a commutative diagram

$$\begin{array}{ccc}
 \bigoplus_{a \in I(i,j)} X(j)(X(a)x, y) & \xrightarrow{(F, \psi)_{x,y}^{(1)}} & \mathcal{C}(F(i)x, F(j)y) \\
 (P, \phi)_{x,y}^{(1)} \parallel & \nearrow H_{ix, jy} & \\
 \text{Gr}(X)(ix, jy) & &
 \end{array}$$

by Proposition 4.5. \square

5. ADJOINTS

In this section we will show that the Grothendieck construction is a strict left adjoint to the diagonal 2-functor, and that I -coverings are essentially given by the unit of the adjunction.

Definition 5.1. Let $\mathcal{C} \in \mathbb{k}\text{-Cat}$. We define a functor $Q_{\mathcal{C}}: \text{Gr}(\Delta(\mathcal{C})) \rightarrow \mathcal{C}$ by

- $Q_{\mathcal{C}}(ix) := x$ for all $ix \in \text{Gr}(\Delta(\mathcal{C}))_0$; and
- $Q_{\mathcal{C}}((f_a)_{a \in I(i,j)}) := \sum_{a \in I(i,j)} f_a$ for all $(f_a)_{a \in I(i,j)} \in \text{Gr}(\Delta(\mathcal{C}))(ix, jy)$ and for all $ix, jy \in \text{Gr}(\Delta(\mathcal{C}))_0$.

It is easy to verify that $Q_{\mathcal{C}}$ is a \mathbb{k} -functor.

Theorem 5.2. *The 2-functor $\text{Gr}: \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat}) \rightarrow \mathbb{k}\text{-Cat}$ is a strict left 2-adjoint to the 2-functor $\Delta: \mathbb{k}\text{-Cat} \rightarrow \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. The unit is given by the family of canonical morphisms $(P_X, \phi_X): X \rightarrow \Delta(\text{Gr}(X))$ indexed by $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$, and the counit is given by the family of $Q_C: \text{Gr}(\Delta(C)) \rightarrow C$ defined as above indexed by $C \in \mathbb{k}\text{-Cat}$.*

In particular, (P_X, ϕ_X) has a strict universality in the comma category $(X \downarrow \Delta)$, i.e., for each $(F, \psi): X \rightarrow \Delta(C)$ in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ with $C \in \mathbb{k}\text{-Cat}$, there exists a unique $H: \text{Gr}(X) \rightarrow C$ in $\mathbb{k}\text{-Cat}$ such that the following is a commutative diagram in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$:

$$\begin{array}{ccc} X & \xrightarrow{(F, \psi)} & \Delta(C). \\ (P_X, \phi_X) \downarrow & \nearrow \Delta(H) & \\ \Delta(\text{Gr}(X)) & & \end{array}$$

Proof. For simplicity set $\eta := ((P_X, \phi_X))_{X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})_0}$ and $\varepsilon := (Q_C)_{C \in \mathbb{k}\text{-Cat}_0}$.

Claim 1. $\Delta\varepsilon \cdot \eta\Delta = \mathbb{1}_\Delta$.

Indeed, Let $C \in \mathbb{k}\text{-Cat}$. It is enough to show that $\Delta(Q_C) \cdot (P_{\Delta(C)}, \phi_{\Delta(C)}) = \mathbb{1}_{\Delta(C)}$. Now

$$\begin{aligned} \text{LHS} &= ((Q_C P_{\Delta(C)}(i))_{i \in I_0}, (Q_C \phi_{\Delta(C)}(a))_{a \in I_1}), \text{ and} \\ \text{RHS} &= ((\mathbb{1}_C)_{i \in I_0}, (\mathbb{1}_{1_C})_{a \in I_1}). \end{aligned}$$

First entry: Let $i \in I$. Then $Q_C P_{\Delta(C)}(i) = \mathbb{1}_C$ because for each $x, y \in C_0$ and each $f \in C(x, y)$ we have $(Q_C P_{\Delta(C)}(i))(x) = Q_C(i x) = x$; and $(Q_C P_{\Delta(C)}(i))(f) = (\delta_{a, 1_i} f \cdot ((\eta_{\Delta(C)})_i x))_{a \in I_1} = \sum_{a \in I(i, i)} \delta_{a, 1_i} f = f$.

Second entry: Let $a: i \rightarrow j$ in I . Then $Q_C \phi_{\Delta(C)}(a) = \mathbb{1}_{1_C}$ because for each $x \in C_0$ we have $Q_C(\phi_{\Delta(C)}(a)x) = Q_C((\delta_{b, a} \mathbb{1}_{\Delta(C)}(a)x)_{b \in I(i, j)}) = \sum_{b \in I(i, j)} \delta_{b, a} \mathbb{1}_x = \mathbb{1}_x = \mathbb{1}_{1_C x}$. This shows that LHS = RHS.

Claim 2. $\varepsilon \text{Gr} \cdot \text{Gr} \eta = \mathbb{1}_{\text{Gr}}$.

Indeed, let $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. It is enough to show that $Q_{\text{Gr}(X)} \cdot \text{Gr}(P_X, \phi_X) = \mathbb{1}_{\text{Gr}(X)}$.

On objects: Let ${}_i x \in \text{Gr}(X)_0$. Then $Q_{\text{Gr}(X)}(\text{Gr}(P_X, \phi_X)(x)) = Q_{\text{Gr}(X)}({}_i(P_X(i)x)) = {}_i x$.

On morphisms: Let $f = (f_a)_{a \in I(i, j)}: {}_i x \rightarrow {}_j y$ be in $\text{Gr}(X)$. Then $Q_{\text{Gr}(X)} \text{Gr}(P_X, \phi_X)(f) = Q_{\text{Gr}(X)}((P_X(j)(f_a) \circ \phi_X(a)x)_{a \in I(i, j)}) = \sum_{a \in I(i, j)} P_X(j)(f_a) \circ \phi_X(a)x = (P_X, \phi_X)_{x, y}^{(1)}(f) = f$. Thus the claim holds.

The two claims above prove the assertion. \square

Corollary 5.3. *Let $(F, \psi): X \rightarrow \Delta(C)$ be in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then the following are equivalent.*

- (1) (F, ψ) is an I -covering;

(2) *There exists an equivalence $H: \text{Gr}(X) \rightarrow \mathcal{C}$ such that the diagram*

$$\begin{array}{ccc} X & \xrightarrow{(F, \psi)} & \Delta(\mathcal{C}) \\ (P_X, \phi_X) \downarrow & \nearrow \Delta(H) & \\ \Delta(\text{Gr}(X)) & & \end{array}$$

is strictly commutative.

Proof. This immediately follows by Theorem 5.2 and Lemma 4.6. \square

6. THE MODULE COLAX FUNCTOR

Let $X: I \rightarrow \mathbb{k}\text{-Cat}$ be a colax functor. In this section we simplify the definition of the “module category” $\text{Mod } X$ of X as a colax functor $I \rightarrow \mathbb{k}\text{-Cat}$ given in our previous paper [6]. Recall that the *module category* $\text{Mod } \mathcal{C}$ of a category $\mathcal{C} \in \mathbb{k}\text{-Cat}$ is defined to be the functor category $\mathbb{k}\text{-Cat}(\mathcal{C}^{\text{op}}, \text{Mod } \mathbb{k})$, where $\text{Mod } \mathbb{k}$ denotes the category of \mathbb{k} -modules. Since $\mathbb{k}\text{-Cat}$ is a 2-category, this is extended to a representable 2-functor

$$\text{Mod}' := \mathbb{k}\text{-Cat}((-)^{\text{op}}, \text{Mod } \mathbb{k}): \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab}^{\text{coop}}$$

(see Notation 2.6). As is easily seen the composite $\text{Mod}' \circ X$ turns out to be a colax functor $I \rightarrow \mathbb{k}\text{-Ab}^{\text{coop}}$, i.e., a contravariant lax functor $I \rightarrow \mathbb{k}\text{-Ab}$. When X is a group action, namely when I is a group G and $X: G \rightarrow \mathbb{k}\text{-Cat}$ is a functor, the usual module category $\text{Mod } X$ with a G -action of X was defined to be the composite functor $\text{Mod } X := \text{Mod}' \circ X \circ i$, where $i: G \rightarrow G$ is the group anti-isomorphism defined by $x \mapsto x^{-1}$ for all $x \in G$. In this way we can change $\text{Mod}' \circ X$ to a covariant one. But in general we cannot assume the existence of such an isomorphism i . Instead in this paper we will use a covariant “pseudofunctor” $\text{Mod}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab}$ defined below and will define $\text{Mod } X$ as the composite $\text{Mod} \circ X$, which can be seen as a “lax” extended version of the module category construction of a category with a G -action stated above. We start with a notion of colax functors from a 2-category to a 2-category. Compare our definitions of colax functors, left transformations (1-morphisms) and 2-morphisms in the setting of 2-categories given below with definitions of morphisms, transformations and modifications in the setting of bicategories (see Leinster [13] for instance).

Definition 6.1. Let \mathbf{B} and \mathbf{C} be 2-categories.

(1) A *colax functor* from \mathbf{B} to \mathbf{C} is a triple (X, η, θ) of data:

- a triple $X = (X_0, X_1, X_2)$ of maps $X_i: \mathbf{B}_i \rightarrow \mathbf{C}_i$ (\mathbf{B}_i denotes the collection of i -morphisms of \mathbf{B} for each $i = 0, 1, 2$) preserving domains and codomains of all 1-morphisms and 2-morphisms (i.e. $X_1(\mathbf{B}_1(i, j)) \subseteq \mathbf{C}_1(X_0 i, X_0 j)$ for all $i, j \in \mathbf{B}_0$ and $X_2(\mathbf{B}_2(a, b)) \subseteq \mathbf{C}_2(X_1 a, X_1 b)$ for all $a, b \in \mathbf{B}_1$ (we omit the subscripts of X below));
- a family $\eta := (\eta_i)_{i \in \mathbf{B}_0}$ of 2-morphisms $\eta_i: X(\mathbb{1}_i) \Rightarrow \mathbb{1}_{X(i)}$ in \mathbf{C} indexed by $i \in \mathbf{B}_0$; and
- a family $\theta := (\theta_{b,a})_{(b,a) \in \text{com}(\mathbf{B})}$ of 2-morphisms $\theta_{b,a}: X(ba) \Rightarrow X(b)X(a)$ in \mathbf{C} indexed by $(b, a) \in \text{com}(\mathbf{B}) := \{(b, a) \in \mathbf{B}_1 \times \mathbf{B}_1 \mid ba \text{ is defined}\}$

satisfying the axioms:

- (i) $(X_1, X_2): \mathbf{B}(i, j) \rightarrow \mathbf{C}(X_0 i, X_0 j)$ is a functor for all $i, j \in \mathbf{B}_0$;
(ii) For each $a: i \rightarrow j$ in \mathbf{B}_1 the following are commutative:

$$\begin{array}{ccc} X(a\mathbb{1}_i) \xrightarrow{\theta_{a, \mathbb{1}_i}} X(a)X(\mathbb{1}_i) & & X(\mathbb{1}_j a) \xrightarrow{\theta_{\mathbb{1}_j, a}} X(\mathbb{1}_j)X(a) \\ & \searrow \parallel \downarrow X(a)\eta_i & \searrow \parallel \downarrow \eta_j X(a) \\ & X(a)\mathbb{1}_{X(i)} & \mathbb{1}_{X(j)}X(a) \end{array} \quad \text{and} \quad ;$$

- (iii) For each $i \xrightarrow{a} j \xrightarrow{b} k \xrightarrow{c} l$ in \mathbf{B}_1 the following is commutative:

$$\begin{array}{ccc} X(cba) \xrightarrow{\theta_{c, ba}} X(c)X(ba) & & \\ \theta_{cb, a} \parallel \downarrow & & \parallel \downarrow X(c)\theta_{b, a} \\ X(cb)X(a) \xrightarrow{\theta_{c, bX(a)}} X(c)X(b)X(a) & ; \text{ and} & \end{array}$$

- (iv) For each $a, a': i \rightarrow j$ and $b, b': j \rightarrow k$ in \mathbf{B}_1 and each $\alpha: a \rightarrow a', \beta: b \rightarrow b'$ in \mathbf{B}_2 the following is commutative:

$$\begin{array}{ccc} X(ba) \xrightarrow{\theta_{b, a}} X(b)X(a) & & \\ X(\beta * \alpha) \parallel \downarrow & & \parallel \downarrow X(\beta) * X(\alpha) \\ X(b'a') \xrightarrow{\theta_{b', a'}} X(b')X(a'). & & \end{array}$$

- (2) A *lax functor* from \mathbf{B} to \mathbf{C} is a colax functor from \mathbf{B} to \mathbf{C}^{co} (see Notation 2.6).
(3) A *pseudofunctor* from \mathbf{B} to \mathbf{C} is a colax functor with all η_i and $\theta_{b, a}$ 2-isomorphisms.
(4) We define a 2-category $\widehat{\text{Colax}}(\mathbf{B}, \mathbf{C})$ having all the colax functors $\mathbf{B} \rightarrow \mathbf{C}$ as the objects as follows.

1-morphisms. Let $X = (X, \eta, \theta)$, $X' = (X', \eta', \theta')$ be colax functors from \mathbf{B} to \mathbf{C} . A 1-morphism (called a *left transformation*) from X to X' is a pair (F, ψ) of data

- a family $F := (F(i))_{i \in \mathbf{B}_0}$ of 1-morphisms $F(i): X(i) \rightarrow X'(i)$ in \mathbf{C} ; and
- a family $\psi := (\psi(a))_{a \in \mathbf{B}_1}$ of 2-morphisms $\psi(a): X'(a)F(i) \Rightarrow F(j)X(a)$

$$\begin{array}{ccc} X(i) & \xrightarrow{F(i)} & X'(i) \\ X(a) \downarrow & \psi(a) \nearrow & \downarrow X'(a) \\ X(j) & \xrightarrow{F(j)} & X'(j) \end{array}$$

in \mathbf{C} indexed by $a: i \rightarrow j$ in \mathbf{B}_1 with the property that

- (0) for each $\alpha: a \Rightarrow b$ in $\mathbf{B}(i, j)$ the following is commutative:

$$\begin{array}{ccc} X'(a)F(i) & \xrightarrow{X'(\alpha)F(i)} & X'(b)F(i) \\ \psi(a) \parallel \downarrow & & \parallel \downarrow \psi(b) \\ F(j)X(a) & \xrightarrow{F(j)X(\alpha)} & F(j)X(b), \end{array} \quad (6.1)$$

thus a family of natural transformations of functors

$$\begin{array}{ccc}
 \mathbf{B}(i, j) & \xrightarrow{X'} & \mathbf{C}(X'(i), X'(j)) \\
 X \downarrow & \swarrow \psi_{ij} & \downarrow \mathbf{C}(F(i), X'(j)) \\
 \mathbf{C}(X(i), X(j)) & \xrightarrow{\mathbf{C}(X(i), F(j))} & \mathbf{C}(X(i), X'(j))
 \end{array} \quad (i, j \in \mathbf{B}_0)$$

satisfying the axioms

(a) For each $i \in \mathbf{B}_0$ the following is commutative:

$$\begin{array}{ccc}
 X'(\mathbb{1}_i)F(i) & \xRightarrow{\psi(\mathbb{1}_i)} & F(i)X(\mathbb{1}_i) \\
 \eta'_i F(i) \downarrow & & \downarrow F(i)\eta_i \\
 \mathbb{1}_{X'(i)}F(i) & \xlongequal{\quad} & F(i)\mathbb{1}_{X(i)}
 \end{array} \quad ; \text{ and }$$

(b) For each $i \xrightarrow{a} j \xrightarrow{b} k$ in \mathbf{B}_1 the following is commutative:

$$\begin{array}{ccc}
 X'(ba)F(i) & \xRightarrow{\theta'_{b,a}F(i)} & X'(b)X'(a)F(i) \xRightarrow{X'(b)\psi(a)} X'(b)F(j)X(a) \\
 \psi(ba) \downarrow & & \downarrow \psi(b)X(a) \\
 F(k)X(ba) & \xlongequal{\quad F(k)\theta_{b,a} \quad} & F(k)X(b)X(a).
 \end{array}$$

2-morphisms. Let $X = (X, \eta, \theta)$, $X' = (X', \eta', \theta')$ be colax functors from \mathbf{B} to \mathbf{C} , and (F, ψ) , (F', ψ') 1-morphisms from X to X' . A *2-morphism* from (F, ψ) to (F', ψ') is a family $\zeta = (\zeta(i))_{i \in \mathbf{B}_0}$ of 2-morphisms $\zeta(i): F(i) \Rightarrow F'(i)$ in \mathbf{C} indexed by $i \in \mathbf{B}_0$ such that the following is commutative for all $a: i \rightarrow j$ in \mathbf{B}_1 :

$$\begin{array}{ccc}
 X'(a)F(i) & \xRightarrow{X'(a)\zeta(i)} & X'(a)F'(i) \\
 \psi(a) \downarrow & & \downarrow \psi'(a) \\
 F(j)X(a) & \xRightarrow{\zeta(j)X(a)} & F'(j)X(a).
 \end{array}$$

Composition of 1-morphisms. Let $X = (X, \eta, \theta)$, $X' = (X', \eta', \theta')$ and $X'' = (X'', \eta'', \theta'')$ be colax functors from \mathbf{B} to \mathbf{C} , and let $(F, \psi): X \rightarrow X'$, $(F', \psi'): X' \rightarrow X''$ be 1-morphisms. Then the composite $(F', \psi')(F, \psi)$ of (F, ψ) and (F', ψ') is a 1-morphism from X to X'' defined by

$$(F', \psi')(F, \psi) := (F'F, \psi' \circ \psi),$$

where $F'F := ((F'(i)F(i)))_{i \in \mathbf{B}_0}$ and for each $a: i \rightarrow j$ in \mathbf{B} , $(\psi' \circ \psi)(a) := F'(j)\psi(a) \circ \psi'(a)F(i)$ is the pasting of the diagram

$$\begin{array}{ccccc}
 X(i) & \xrightarrow{F(i)} & X'(i) & \xrightarrow{F'(i)} & X''(i) \\
 X(a) \downarrow & \swarrow \psi(a) & \downarrow X'(a) & \swarrow \psi'(a) & \downarrow X''(a) \\
 X(j) & \xrightarrow{F(j)} & X'(j) & \xrightarrow{F'(j)} & X''(j).
 \end{array}$$

Remark 6.2. (1) Note that a (strict) 2-functor from \mathbf{B} to \mathbf{C} is a pseudofunctor with all η_i and $\theta_{b,a}$ identities.

(2) By regarding the category I as a 2-category with all 2-morphisms identities, the definition (1) of colax functors above coincides with Definition 2.1.

(3) When $\mathbf{B} = I$, the definition (4) of $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C})$ above coincides with that of $\overleftarrow{\text{Colax}}(I, \mathbf{C})$ given before.

Example 6.3. (1) Since $\mathbb{k}\text{-Cat}$ is a 2-category, $\text{Mod}' := \mathbb{k}\text{-Cat}((-)^{\text{op}}, \text{Mod } \mathbb{k}): \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab}^{\text{coop}}$ is a 2-functor, which we can regard as a contravariant lax functor

$$\text{Mod}' := \mathbb{k}\text{-Cat}((-)^{\text{op}}, \text{Mod } \mathbb{k}): \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab}.$$

(2) We define a pseudofunctor $\text{Mod}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab}$ as follows.

- For each $\mathcal{C} \in \mathbb{k}\text{-Cat}_0$ we set $\text{Mod } \mathcal{C} := \text{Mod}' \mathcal{C}$.
- For each $F: \mathcal{C} \rightarrow \mathcal{C}'$ in $\mathbb{k}\text{-Cat}_1$ we set $\text{Mod } F := - \otimes_{\mathcal{C}} \overline{F}: \text{Mod } \mathcal{C} \rightarrow \text{Mod } \mathcal{C}'$, where \overline{F} is the $\mathcal{C}\text{-}\mathcal{C}'$ -bimodule defined by $\overline{F}(x, y) := \mathcal{C}'(y, F(x))$ for all $x \in \mathcal{C}_0$, $y \in \mathcal{C}'_0$, which we sometimes write as $\overline{F} := \mathcal{C}'(? , F(-))$.
- For each $\alpha: F \Rightarrow G$ in $\mathbb{k}\text{-Cat}_2$ (with $F, G: \mathcal{C} \rightarrow \mathcal{C}'$ in $\mathbb{k}\text{-Cat}_1$) we define $\text{Mod } \alpha: \text{Mod } F \Rightarrow \text{Mod } G$ by setting $(\text{Mod } \alpha)x := \mathcal{C}'(? , \alpha x): \mathcal{C}'(? , Fx) \Rightarrow \mathcal{C}'(? , Gx)$ for all $x \in \mathcal{C}_0$.
- For each $\mathcal{C} \in \mathbb{k}\text{-Cat}$ we define $\eta_{\mathcal{C}}: \text{Mod } \mathbb{1}_{\mathcal{C}} \Rightarrow \mathbb{1}_{\text{Mod } \mathcal{C}}$ by setting $\eta_{\mathcal{C}}M: M \otimes_{\mathcal{C}} \mathcal{C}(?, -) \rightarrow M$ to be the canonical isomorphisms for all $M \in \text{Mod } \mathcal{C}$.
- For each pair of functors $\mathcal{C} \xrightarrow{F} \mathcal{C}' \xrightarrow{G} \mathcal{C}''$ in $\mathbb{k}\text{-Cat}$ we define $\theta_{G,F}: \text{Mod } GF \Rightarrow \text{Mod } G \circ \text{Mod } F$ as the inverse of the canonical isomorphism

$$- \otimes_{\mathcal{C}} \mathcal{C}'(? , F(-)) \otimes_{\mathcal{C}'} \mathcal{C}''(? , G(-)) \Rightarrow - \otimes_{\mathcal{C}} \mathcal{C}''(? , GF(-)).$$

It is straightforward to check that this defines a pseudofunctor.

(3) Denote by $\mathbb{k}\text{-ModCat}$ the 2-subcategory of $\mathbb{k}\text{-Ab}$ consisting of the following:

- objects: $\text{Mod } \mathcal{C}$ with $\mathcal{C} \in \mathbb{k}\text{-Cat}_0$,
- 1-morphisms: functors between objects having exact right adjoints, and
- 2-morphisms: all natural transformations between 1-morphisms.

Then note that the pseudofunctor $\text{Mod}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab}$ defined above can be seen as a pseudofunctor $\mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-ModCat}$. For each $\text{Mod } \mathcal{C}$ with $\mathcal{C} \in \mathbb{k}\text{-Cat}_0$ we denote by $\mathcal{K}_p(\text{Mod } \mathcal{C})$ the full subcategory of the homotopy category $\mathcal{K}(\text{Mod } \mathcal{C})$ of $\text{Mod } \mathcal{C}$ consisting of *homotopically projective* objects M , i.e., objects M such that $\mathcal{K}(\text{Mod } \mathcal{C})(M, A) = 0$ for all acyclic objects A . Recall that there is a natural embedding $\mathbf{j}_{\mathcal{C}}: \mathcal{K}_p(\text{Mod } \mathcal{C}) \rightarrow \mathcal{D}(\text{Mod } \mathcal{C})$ having a left adjoint $\mathbf{p}_{\mathcal{C}}$ such that there exists a quasi-isomorphism $\eta_{\mathcal{C}}M: \mathbf{j}_{\mathcal{C}}\mathbf{p}_{\mathcal{C}}M \rightarrow M$ for each $M \in \mathcal{D}(\text{Mod } \mathcal{C})$ and that $\mathbf{p}_{\mathcal{C}}\mathbf{j}_{\mathcal{C}} = \mathbb{1}_{\mathcal{K}_p(\text{Mod } \mathcal{C})}$. Then we can define a pseudofunctor $\mathcal{D}: \mathbb{k}\text{-ModCat} \rightarrow \mathbb{k}\text{-Tri}$ as follows.

- For each $\text{Mod } \mathcal{C}$ in $\mathbb{k}\text{-ModCat}_0$ with $\mathcal{C} \in \mathbb{k}\text{-Cat}$ we set $\mathcal{D}(\text{Mod } \mathcal{C})$ to be the derived category of $\text{Mod } \mathcal{C}$.
- For each $F: \text{Mod } \mathcal{C} \rightarrow \text{Mod } \mathcal{C}'$ in $\mathbb{k}\text{-ModCat}_1$, F naturally induces a functor $\mathcal{K}F: \mathcal{K}(\text{Mod } \mathcal{C}) \rightarrow \mathcal{K}(\text{Mod } \mathcal{C}')$, which restricts to a functor $\mathcal{K}_pF: \mathcal{K}_p(\text{Mod } \mathcal{C}) \rightarrow \mathcal{K}_p(\text{Mod } \mathcal{C}')$ because F has an exact right adjoint. Then we set $\mathcal{D}F$ to be the

left derived functor $\mathbf{L}F: \mathcal{D}(\text{Mod } \mathcal{C}) \rightarrow \mathcal{D}(\text{Mod } \mathcal{C}')$ of F , which is defined as the composite $\mathbf{L}F := \mathbf{j}_{\mathcal{C}'}(\mathcal{K}_p F) \mathbf{p}_{\mathcal{C}}$.

- For each $\alpha: F \Rightarrow F'$ in $\mathbb{k}\text{-ModCat}_2$ with $F, F': \text{Mod } \mathcal{C} \rightarrow \text{Mod } \mathcal{C}'$ in $\mathbb{k}\text{-ModCat}_1$, α naturally induces a natural transformation $\mathcal{K}_p \alpha: \mathcal{K}_p F \Rightarrow \mathcal{K}_p F'$. Then we define $\mathcal{D}\alpha := \mathbf{j}_{\mathcal{C}'}(\mathcal{K}_p \alpha) \mathbf{p}_{\mathcal{C}}$.
- We define $\eta_{\text{Mod } \mathcal{C}}: \mathcal{D}(\mathbb{1}_{\text{Mod } \mathcal{C}})(= \mathbf{j}_{\mathcal{C}} \mathbf{p}_{\mathcal{C}}) \Rightarrow \mathbb{1}_{\mathcal{D}(\text{Mod } \mathcal{C})}$ by $\eta_{\text{Mod } \mathcal{C}} := (\eta_{\mathcal{C}} M)_{M \in \mathcal{D}(\text{Mod } \mathcal{C})}$.
- Note that for each $\text{Mod } \mathcal{C} \xrightarrow{F} \text{Mod } \mathcal{C}' \xrightarrow{F'} \text{Mod } \mathcal{C}''$ in $\mathbb{k}\text{-ModCat}_1$ we have $\mathbf{L}(F' \circ F) = \mathbf{L}F' \circ \mathbf{L}F$ because $\mathbf{p}_{\mathcal{C}'} \mathbf{j}_{\mathcal{C}'} = \mathbb{1}_{\mathcal{K}_p(\text{Mod } \mathcal{C})}$. We define $\theta_{F', F}: \mathbf{L}(F' \circ F) \Rightarrow \mathbf{L}F' \circ \mathbf{L}F$ as the identity $\mathbb{1}_{\mathbf{L}(F' \circ F)}$.

It is straightforward to check that this defines a pseudofunctor.

Example 6.4. (1) We define a pseudofunctor $\text{prj}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-add}$ as the subpseudofunctor of $\text{Mod}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Ab} \hookrightarrow \mathbb{k}\text{-add}$ by setting $\text{prj } \mathcal{C}$ to be the full subcategory of $\text{Mod } \mathcal{C}$ consisting of finitely generated projective \mathcal{C} -modules for all $\mathcal{C} \in \mathbb{k}\text{-Cat}_0$, where $\mathbb{k}\text{-add}$ is the full 2-subcategory of $\mathbb{k}\text{-Cat}$ consisting of additive \mathbb{k} -categories. Then for each $F: \mathcal{C} \rightarrow \mathcal{C}'$ in $\mathbb{k}\text{-Cat}_1$ and each $x \in \mathcal{C}_0$ we have

$$(\text{prj } F)(\mathcal{C}(-, x)) = \mathcal{C}(-, x) \otimes_{\mathcal{C}} \overline{F} \cong \mathcal{C}'(-, F(x)). \quad (6.2)$$

Note that we can define two 2-functors $\oplus: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-add}$ and $\text{sic}: \mathbb{k}\text{-add} \rightarrow \mathbb{k}\text{-add}$ by forming formal additive hulls (see e.g., [2, Subsection 4.1]) and by taking split idempotent completions (see e.g., [4, Definition 3.1]), respectively. Then the Yoneda embeddings $Y_{\mathcal{C}}: \mathcal{C} \rightarrow \text{prj } \mathcal{C}$, $x \mapsto \mathcal{C}(-, x)$ ($\mathcal{C} \in \mathbb{k}\text{-Cat}_0$) induce a natural 2-isomorphism $Y: \text{sic} \circ \oplus \Rightarrow \text{prj}$:

$$\begin{array}{ccc} \mathbb{k}\text{-Cat} & \xrightarrow{\text{prj}} & \mathbb{k}\text{-add} \\ & \searrow \oplus \quad \uparrow \cong \quad \swarrow \text{sic} & \\ & \mathbb{k}\text{-add} & \end{array}$$

(2) A 2-functor $\mathcal{K}^b: \mathbb{k}\text{-add} \rightarrow \mathbb{k}\text{-Tri}$ is canonically defined by setting $\mathcal{K}^b(\mathcal{C})$ to be the homotopy category of bounded complexes in \mathcal{C} for all $\mathcal{C} \in \mathbb{k}\text{-add}$. Then the composite pseudofunctor $\mathcal{K}^b \circ \text{prj}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Tri}$ turns out to be a subpseudofunctor of $\mathcal{D} \circ \text{Mod}: \mathbb{k}\text{-Cat} \rightarrow \mathbb{k}\text{-Tri}$.

The following is a useful tool to define new colax functors from an old one by composing with pseudofunctors. The proof will be given in the last section.

Theorem 6.5. *Let \mathbf{B}, \mathbf{C} and \mathbf{D} be 2-categories and $V: \mathbf{C} \rightarrow \mathbf{D}$ a pseudofunctor. Then the obvious correspondence (see subsection 9.1 for details)*

$$\overleftarrow{\text{Colax}}(\mathbf{B}, V): \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C}) \rightarrow \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$$

turns out to be a pseudofunctor.

Definition 6.6. Let $X = (X, \eta, \theta) \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$.

(1) We define the *module colax functor* $\text{Mod } X = (\text{Mod } X, \text{Mod } \eta, \text{Mod } \theta): I \rightarrow \mathbb{k}\text{-ModCat}$ of X as the composite $\text{Mod } X := \text{Mod} \circ X = \overleftarrow{\text{Colax}}(I, \text{Mod})(X): I \xrightarrow{X} \mathbb{k}\text{-Cat} \xrightarrow{\text{Mod}} \mathbb{k}\text{-ModCat}$. By applying Theorem 6.5 to $\mathbf{B} := I$, $\mathbf{C} := \mathbb{k}\text{-Cat}$, $\mathbf{D} :=$

$\mathbb{k}\text{-ModCat}$ and $V := \text{Mod}(\text{Example 6.3(2)})$ we see that $\text{Mod } X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-ModCat})$. Then we have

- for each $i \in I_0$, $(\text{Mod } X)(i) = \text{Mod}(X(i))$; and
- for each $a: i \rightarrow j$ in I the functor $(\text{Mod } X)(a): (\text{Mod } X)(i) \rightarrow (\text{Mod } X)(j)$ is given by $(\text{Mod } X)(a) = - \otimes_{X(i)} \overline{X(a)}$, where $\overline{X(a)}$ is an $X(i)$ - $X(j)$ -bimodule defined by

$$\overline{X(a)}(x, y) := X(j)(y, X(a)(x))$$

for all $x \in X(i)_0$ and $y \in X(j)_0$.

(2) By Theorem 6.5 and Example 6.3 we can define a colax functor $\mathcal{D}(\text{Mod } X) \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Tri})$ as the composite $\mathcal{D}(\text{Mod } X) := \mathcal{D} \circ \text{Mod } X$, which we call the *derived module colax functor* of X . Then for each $a: i \rightarrow j$ in I , $\mathcal{D}(\text{Mod } X)(i) \xrightarrow{\mathcal{D}(\text{Mod } X)(a)} \mathcal{D}(\text{Mod } X)(j)$ is equal to

$$\mathcal{D}(\text{Mod } X)(i) \xrightarrow{- \otimes_{X(i)} \overline{X(a)}} \mathcal{D}(\text{Mod } X)(j).$$

(3) By Theorem 6.5 and Example 6.4 we can define a pseudofunctor

$$\overleftarrow{\text{Colax}}(I, \mathcal{K}^b \circ \text{prj}): \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat}) \rightarrow \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Tri})$$

sending each $X \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ to $\mathcal{K}^b(\text{prj } X)$. By the remark in Example 6.4(2) $\mathcal{K}^b(\text{prj } X)$ is a colax subfunctor of $\mathcal{D}(\text{Mod } X)$.

Remark 6.7. Let $\mathcal{C} \in \mathbb{k}\text{-Cat}_0$. Then it is obvious by definitions that

$$\Delta(\mathcal{K}^b(\text{prj } \mathcal{C})) = \mathcal{K}^b(\text{prj } \Delta(\mathcal{C})).$$

Proposition 6.8. *The pseudofunctor $\mathcal{K}^b \circ \text{prj}$ preserves I -precoverings, that is, if $(F, \psi): X \rightarrow \Delta(\mathcal{C})$ is an I -precovering in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ with $\mathcal{C} \in \mathbb{k}\text{-Cat}_0$, then so is $\mathcal{K}^b(\text{prj}(F, \psi)): \mathcal{K}^b(\text{prj } X) \rightarrow \Delta(\mathcal{K}^b(\text{prj } \mathcal{C}))$ in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Tri})$.*

Proof. It is straightforward to verify that the 2-functors \oplus , sic and \mathcal{K}^b defined in Example 6.4 preserve I -precoverings. Then the assertion follows from the natural 2-isomorphism $Y: \text{sic} \circ \oplus \Rightarrow \text{prj}$. \square

7. DERIVED EQUIVALENCES OF COLAX FUNCTORS

In this section we recall necessary terminologies and the main theorem in our previous paper [6]. First we cite the following. See [6] for the proof.

Lemma 7.1. *Let \mathbf{C} be a 2-category and $(F, \psi): X \rightarrow X'$ a 1-morphism in the 2-category $\overleftarrow{\text{Colax}}(I, \mathbf{C})$. Then (F, ψ) is an equivalence in $\overleftarrow{\text{Colax}}(I, \mathbf{C})$ if and only if*

- (1) *For each $i \in I_0$, $F(i)$ is an equivalence in \mathbf{C} ; and*
- (2) *For each $a \in I_1$, $\psi(a)$ is a 2-isomorphism in \mathbf{C} (namely, (F, ψ) is I -equivariant).*

Definition 7.2. Let $X, X' \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then X and X' are said to be *derived equivalent* if $\mathcal{D}(\text{Mod } X)$ and $\mathcal{D}(\text{Mod } X')$ are equivalent in the 2-category $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Tri})$.

By Lemma 7.1 we obtain the following.

Proposition 7.3. *Let $X, X' \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Then X and X' are derived equivalent if and only if there exists a 1-morphism $(F, \psi): \mathcal{D}(\text{Mod } X) \rightarrow \mathcal{D}(\text{Mod } X')$ in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Tri})$ such that*

- (1) *For each $i \in I_0$, $F(i)$ is a triangle equivalence; and*
- (2) *For each $a \in I_1$, $\psi(a)$ is a natural isomorphism (i.e., (F, ψ) is I -equivariant).*

A \mathbb{k} -category \mathcal{A} is called \mathbb{k} -projective (resp. \mathbb{k} -flat) if $\mathcal{A}(x, y)$ are projective (resp. flat) \mathbb{k} -modules for all $x, y \in \mathcal{A}_0$.

Definition 7.4. Let $X: I \rightarrow \mathbb{k}\text{-Cat}$ be a colax functor.

- (1) X is called \mathbb{k} -projective (resp. \mathbb{k} -flat) if $X(i)$ are \mathbb{k} -projective (resp. \mathbb{k} -flat) for all $i \in I_0$.
- (2) A colax subfunctor \mathcal{T} of $\mathcal{K}^b(\text{prj } X)$ is called *tilting* if for each $i \in I_0$, $\mathcal{T}(i)$ is a tilting subcategory of $\mathcal{K}^b(\text{prj } X(i))$, namely,
 - $\mathcal{K}^b(\text{prj } X(i))(U, V[n]) = 0$ for all $U, V \in \mathcal{T}(i)_0$ and $0 \neq n \in \mathbb{Z}$; and
 - the smallest thick subcategory of $\mathcal{K}^b(\text{prj } X(i))$ containing $\mathcal{T}(i)$ is equal to $\mathcal{K}^b(\text{prj } X(i))$.
- (3) A tilting colax subfunctor \mathcal{T} of $\mathcal{K}^b(\text{prj } X)$ with an I -equivariant inclusion $(\sigma, \rho): \mathcal{T} \hookrightarrow \mathcal{K}^b(\text{prj } X)$ is called a *tilting colax functor* for X .

The following was our main theorem in [6] that gives a generalization of the Morita type theorem characterizing derived equivalences of categories by Rickard [14] and Keller [11] in our setting.

Theorem 7.5. *Let $X, X' \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Consider the following conditions.*

- (1) *X and X' are derived equivalent.*
- (2) *$\mathcal{K}^b(\text{prj } X)$ and $\mathcal{K}^b(\text{prj } X')$ are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Tri})$.*
- (3) *There exists a tilting colax functor \mathcal{T} for X such that \mathcal{T} and X' are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$.*

Then

- (a) (1) implies (2).
- (b) (2) implies (3).
- (c) If X' is \mathbb{k} -projective, then (3) implies (1).

8. DERIVED EQUIVALENCES OF GROTHENDIECK CONSTRUCTIONS

First we cite the statement [11, Corollary 9.2] in the \mathbb{k} -category case.

Theorem 8.1 (Keller). *Let \mathcal{A} and \mathcal{B} be \mathbb{k} -categories and assume that \mathcal{A} is \mathbb{k} -flat. Then the following are equivalent.*

- (1) *\mathcal{A} and \mathcal{B} are derived equivalent.*
- (2) *\mathcal{B} is equivalent to a tilting subcategory of $\mathcal{K}^b(\text{prj } \mathcal{A})$.*

The following is our main result in this paper.

Theorem 8.2. *Let $X, X' \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Assume that X is \mathbb{k} -flat and that there exists a tilting colax functor \mathcal{T} for X such that \mathcal{T} and X' are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$ (the condition (3) in Theorem 7.5). Then $\text{Gr}(X)$ and $\text{Gr}(X')$ are derived equivalent.*

Proof. Note that $\text{Gr}(X)$ is also \mathbb{k} -flat by definition of $\text{Gr}(X)$. Let \mathcal{T} be a tilting colax subfunctor of $\mathcal{K}^b(\text{prj } X)$ with an I -equivariant inclusion $(\sigma, \rho): \mathcal{T} \hookrightarrow \mathcal{K}^b(\text{prj } X)$. Put $(P, \phi) := (P_X, \phi_X)$ for short. Let \mathcal{T}' be the full subcategory of $\mathcal{K}^b(\text{prj } \text{Gr}(X))$ consisting of the objects $\mathcal{K}^b(\text{prj } P(i))(U)$ with $i \in I_0$ and $U \in \mathcal{T}(i)_0$. Then \mathcal{T}' is a tilting subcategory of $\mathcal{K}^b(\text{prj } \text{Gr}(X))$. Indeed, let $L, M \in \mathcal{T}'_0$ and $0 \neq p \in \mathbb{Z}$. Then $L = \mathcal{K}^b(\text{prj } P(i))(U)$ and $M = \mathcal{K}^b(\text{prj } P(j))(V)$ for some $i, j \in I_0$ and some $U \in \mathcal{T}(i)_0$, $V \in \mathcal{T}(j)_0$. Since

$$\mathcal{K}^b(\text{prj}(P, \phi)): \mathcal{K}^b(\text{prj } X) \rightarrow \Delta(\mathcal{K}^b(\text{prj } \text{Gr}(X)))$$

is an I -precovering by Proposition 6.8, we have

$$\begin{aligned} \mathcal{K}^b(\text{prj } \text{Gr}(X))(L, M[p]) &\cong \mathcal{K}^b(\text{prj } \text{Gr}(X))(\mathcal{K}^b(\text{prj}(P, \phi))(U), \mathcal{K}^b(\text{prj}(P, \phi))(V[p])) \\ &\cong \bigoplus_{a \in I(i, j)} \mathcal{K}^b(\text{prj } X(j))(\mathcal{K}^b(\text{prj } X)(a)(U), V[p]) \\ &\stackrel{(a)}{\cong} \bigoplus_{a \in I(i, j)} \mathcal{K}^b(\text{prj } X(j))(\mathcal{T}(a)U, V[p]) \stackrel{(b)}{=} 0, \end{aligned}$$

where the isomorphism (a) follows using the natural isomorphism $\rho(a)$:

$$\begin{array}{ccc} U \in \mathcal{T}(i) & \hookrightarrow & \mathcal{K}^b(\text{prj } X(i)) \\ \mathcal{T}(a) \downarrow & \nearrow \rho(a) & \downarrow \mathcal{K}^b(\text{prj } X)(a) \\ \mathcal{T}(i) & \hookrightarrow & \mathcal{K}^b(\text{prj } X(j)) \end{array}$$

and the equality (b) follows by assumption from the fact that $\mathcal{T}(a)U, V \in \mathcal{T}(j)$. Now for a triangulated category \mathcal{U} and a class of objects \mathcal{V} in \mathcal{U} we denote by $\text{thick } \mathcal{V}$ the smallest thick subcategory of \mathcal{U} containing \mathcal{V} . Then for each $i \in I_0$ and $x \in X(i)$ we have $\mathcal{K}^b(\text{prj } P(i))(X(i)(-, x)) \cong (\text{prj } P(i))(X(i)(-, x)) \cong \text{Gr}(X)(-, P(i)(x)) = \text{Gr}(X)(-, ix)$ by the formula (6.2), and hence

$$\begin{aligned} \text{Gr}(X)(-, ix) &\cong \mathcal{K}^b(\text{prj } P(i))(X(i)(-, x)) \\ &\in \mathcal{K}^b(\text{prj } P(i))(\text{thick } \mathcal{T}(i)) \\ &\subseteq \text{thick}\{\mathcal{K}^b(\text{prj } P(i))(U) \mid U \in \mathcal{T}(i)\} \\ &\subseteq \text{thick } \mathcal{T}'. \end{aligned}$$

Therefore $\text{thick } \mathcal{T}' = \mathcal{K}^b(\text{prj } \text{Gr}(X))$, and hence \mathcal{T}' is a tilting subcategory of $\mathcal{K}^b(\text{prj } \text{Gr}(X))$, as desired. Hence $\text{Gr}(X)$ and \mathcal{T}' are derived equivalent because $\text{Gr}(X)$ is \mathbb{k} -flat. Let (F, ψ) be the restriction of $\mathcal{K}^b(\text{prj}(P, \phi))$ to \mathcal{T} . Then $(F, \psi): \mathcal{T} \rightarrow \Delta(\mathcal{T}')$ is a dense functor and an I -precovering, thus it is an I -covering, which shows that $\mathcal{T}' \simeq \text{Gr}(\mathcal{T})$ by Corollary 5.3. Since \mathcal{T} and X' are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$,

we have $\text{Gr}(\mathcal{T}) \simeq \text{Gr}(X')$. As a consequence, $\text{Gr}(X)$ and $\text{Gr}(X')$ are derived equivalent. \square

Corollary 8.3. *Let $X, X' \in \overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. If X and X' are derived equivalent, then so are $\text{Gr}(X)$ and $\text{Gr}(X')$.*

Proof. Assume that X and X' are derived equivalent, namely that the condition (1) in Theorem 7.5 is satisfied. Then the condition (3) in Theorem 7.5 holds by Theorem 7.5 (a) and (b). Hence $\text{Gr}(X)$ and $\text{Gr}(X')$ are derived equivalent by the theorem above. \square

The following is easy to verify.

Lemma 8.4. *Let C, C' be in $\mathbb{k}\text{-Cat}$. If C and C' are derived equivalent, then so are $\Delta(C)$ and $\Delta(C')$.* \square

Corollary 8.3 together with the lemma above and Example 4.2 gives us a unified proof of the following fact.

Theorem 8.5. *Assume that \mathbb{k} is a field and that \mathbb{k} -algebras A and A' are derived equivalent. Then the following pairs are derived equivalent as well:*

- (1) *path-categories AQ and $A'Q$ for any quiver Q ;*
- (2) *incidence categories AS and $A'S$ for any poset S ; and*
- (3) *monoid algebras AG and $A'G$ for any monoid G .*

\square

Example 8.6. Assume that \mathbb{k} is a field. Let n be a natural number ≥ 3 , and I the free category defined by the quiver $Q: 2 \xrightarrow{a_2} 3 \xrightarrow{a_3} \dots \xrightarrow{a_{n-1}} n$. Define functors $X, X': I \rightarrow \mathbb{k}\text{-Cat}$ as follows.

For each $i \in I_0 = \{2, \dots, n\}$ let $X(i)$ be the \mathbb{k} -category defined by the quiver

$$1 \begin{array}{c} \xrightarrow{\alpha_1} \\ \xleftarrow{\beta_1} \end{array} 2 \begin{array}{c} \xrightarrow{\alpha_2} \\ \xleftarrow{\beta_2} \end{array} 3 \begin{array}{c} \xrightarrow{\alpha_3} \\ \xleftarrow{\beta_3} \end{array} \dots \begin{array}{c} \xrightarrow{\alpha_{i-1}} \\ \xleftarrow{\beta_{i-1}} \end{array} i$$

with relations $\alpha_{j+1}\alpha_j = 0$, $\beta_j\beta_{j+1} = 0$, $\alpha_j\beta_j = \beta_{j+1}\alpha_{j+1}$ for all $j = 1, \dots, i-1$ and $\alpha_1\beta_1\alpha_1 = 0$, $\beta_{i-1}\alpha_{i-1}\beta_{i-1} = 0$. For each $a_i: i \rightarrow i+1$ in I_1 let $X(a_i): X(i) \rightarrow X(i+1)$ be the inclusion functor. This defines a functor $X: I \rightarrow \mathbb{k}\text{-Cat}$.

For each $i \in I_0 = \{2, \dots, n\}$ let $X'(i)$ be the \mathbb{k} -category defined by the quiver

$$1 \xrightarrow{\gamma_1} 2 \xrightarrow{\gamma_2} 3 \xrightarrow{\gamma_3} \dots \xrightarrow{\gamma_{i-1}} i$$

γ_i

with relations $\gamma_{j+i} \dots \gamma_{j+1}\gamma_j = 0$ for all $j \in \mathbb{Z}/i\mathbb{Z}$. For each $a_i: i \rightarrow i+1$ in I_1 let $X(a_i): X(i) \rightarrow X(i+1)$ be the functor defined by the correspondence $1 \mapsto 1$, $j \mapsto j+1$ and $\alpha_1 \mapsto \alpha_2\alpha_1$, $\alpha_j \mapsto \alpha_{j+1}$ for all $j = 2, \dots, i$. This defines a functor $X': I \rightarrow \mathbb{k}\text{-Cat}$.

As is explained in [1] we have a tilting spectroid $\mathcal{T}(i)$ for $X(i)$ that is a full subcategory of $\mathcal{K}^b(\text{prj } X(i))$ consisting of the following i objects

$$\begin{aligned} T(i)_1 &:= (\underline{P_1}), \\ T(i)_2 &:= (\underline{P_2} \xrightarrow{P(\alpha_2)} P_3 \xrightarrow{P(\alpha_3)} \cdots \xrightarrow{P(\alpha_{i-1})} P_i), \\ T(i)_3 &:= (\underline{P_2} \xrightarrow{P(\alpha_2)} P_3 \xrightarrow{P(\alpha_3)} \cdots \xrightarrow{P(\alpha_{i-2})} P_{i-1}), \\ &\vdots \\ T(i)_i &:= (\underline{P_2}), \end{aligned}$$

where $P_j := X(i)(-, j) \in \text{prj } X(i)$ for all $j \in X(i)_0$, $P(\alpha) := X(i)(-, \alpha)$ for all $\alpha \in X(i)_1$ and the underline indicates the place of degree zero. Again by [1], $\mathcal{T}(i)$ is presented by the same quiver with relations as $X'(i)$ and we have an isomorphism $F(i): X'(i) \rightarrow \mathcal{T}(i)$ sending j to $T(i)_j$ for all $j = 1, \dots, i$ and γ_j to a morphism $\delta(i)_j: T(i)_j \rightarrow T(i)_{j+1}$ for all $j \in \mathbb{Z}/i\mathbb{Z}$, where $\delta(i)_1 := (P(\alpha_1))$, $\delta(i)_j := (\underline{\mathbb{1}_{P_2}}, \dots, \underline{\mathbb{1}_{P_{i-j+1}}}, 0)$ for all $j = 2, \dots, i-1$ and $\delta(i)_i := (P(\beta_1))$. Thus $\mathcal{T}(i)$ gives a derived equivalence between $X(i)$ and $X'(i)$.

For each $a_i: i \rightarrow i+1$ in I_1 define a functor $\mathcal{T}(a_i): \mathcal{T}(i) \rightarrow \mathcal{T}(i+1)$ by the correspondence $T(i)_1 \mapsto T(i+1)_1$, $T(i)_j \mapsto T(i+1)_{j+1}$ and $\delta(i)_1 \mapsto \delta(i+1)_2 \delta(i+1)_1$, $\delta(i)_j \mapsto \delta(i+1)_{j+1}$ for all $j = 2, \dots, i$. This defines a functor $\mathcal{T}: I \rightarrow \mathbb{k}\text{-Cat}$. Then we have a strict commutative diagram

$$\begin{array}{ccc} X'(i) & \xrightarrow{F(i)} & \mathcal{T}(i) \\ X'(a_i) \downarrow & & \downarrow \mathcal{T}(a_i) \\ X'(i+1) & \xrightarrow{F(i+1)} & \mathcal{T}(i+1) \end{array}$$

in $\mathbb{k}\text{-Cat}$ for all $i \in I_0$, which shows that X' and \mathcal{T} are equivalent in $\overleftarrow{\text{Colax}}(I, \mathbb{k}\text{-Cat})$. Finally by definition of $\mathcal{T}(a_i)$'s it is easy to see that we have an I -equivariant inclusion $(\sigma, \rho): \mathcal{T} \hookrightarrow \mathcal{K}^b(\text{prj } X)$:

$$\begin{array}{ccc} \mathcal{T}(i) & \xhookrightarrow{\sigma(i)} & \mathcal{K}^b(\text{prj } X(i)) \\ \mathcal{T}(a_i) \downarrow & \swarrow \rho(a_i) \cong & \downarrow \mathcal{K}^b(\text{prj } X(a_i)) \\ \mathcal{T}(i+1) & \xhookrightarrow{\sigma(i+1)} & \mathcal{K}^b(\text{prj } X(i+1)). \end{array}$$

Hence by Theorem 8.2 we can glue derived equivalences between $X(i)$'s and $X'(i)$'s together to have a derived equivalence between $\text{Gr}(X)$ and $\text{Gr}(X')$. For example when

$n = 5$, these are presented by the following quivers

$$\text{Gr}(X) = \begin{array}{ccccc} 1 & \xrightleftharpoons[\beta_1]{\alpha_1} & 2 & & \\ \downarrow & & \downarrow & & \\ 1 & \xrightleftharpoons[\beta_1]{\alpha_1} & 2 & \xrightleftharpoons[\beta_2]{\alpha_2} & 3 \\ \downarrow & & \downarrow & & \downarrow \\ 1 & \xrightleftharpoons[\beta_1]{\alpha_1} & 2 & \xrightleftharpoons[\beta_2]{\alpha_2} & 3 & \xrightleftharpoons[\beta_3]{\alpha_3} & 4 \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 1 & \xrightleftharpoons[\beta_1]{\alpha_1} & 2 & \xrightleftharpoons[\beta_2]{\alpha_2} & 3 & \xrightleftharpoons[\beta_3]{\alpha_3} & 4 & \xrightleftharpoons[\beta_4]{\alpha_4} & 5 \end{array}, \quad \text{Gr}(X') = \begin{array}{ccccccc} 1 & \xrightarrow{\gamma_1} & 2 & & & & \\ \downarrow & \searrow & \downarrow & & & & \\ 1 & \xrightarrow{\gamma_1} & 2 & \xrightarrow{\gamma_2} & 3 & & \\ \downarrow & \searrow & \downarrow & \searrow & \downarrow & & \\ 1 & \xrightarrow{\gamma_1} & 2 & \xrightarrow{\gamma_2} & 3 & \xrightarrow{\gamma_3} & 4 \\ \downarrow & \searrow & \downarrow & \searrow & \downarrow & \searrow & \downarrow \\ 1 & \xrightarrow{\gamma_1} & 2 & \xrightarrow{\gamma_2} & 3 & \xrightarrow{\gamma_3} & 4 & \xrightarrow{\gamma_4} & 5 \\ & & & & & & \searrow & & \\ & & & & & & & & \end{array}$$

with suitable relations as calculated in [7]. Note that if we start with I presented by the same quiver Q as above with relations $a_{i+1}a_i = 0$ for all $i = 2, \dots, n-2$, then both $\text{Gr}(X)$ and $\text{Gr}(X')$ are presented by the same quivers with relations consisting of the same relations as before together with the additional relations that the vertical paths of length 2 are zero, respectively.

9. THE COMPOSITE OF COLAX FUNCTORS AND PSEUDOFUNCTORS

In this section we prove Theorem 6.5. Throughout this section \mathbf{B}, \mathbf{C} and \mathbf{D} are 2-categories.

Notation 9.1. When we denote a colax functor by a letter X the 1-st (resp. 2-nd and 3-rd) entry of X is denoted by $X_{012} := (X_0, X_1, X_2)$ (resp. η^X and θ^X), thus we set $X = (X_{012}, \eta^X, \theta^X)$, and sometimes we simply write X for X_d for all $d = 0, 1, 2$ if this seems to make no confusion.

9.1. Correspondences on cells.

Lemma 9.2. *Let $X: \mathbf{B} \rightarrow \mathbf{C}$ and $V: \mathbf{C} \rightarrow \mathbf{D}$ be colax functors. We define the composite $VX: \mathbf{B} \rightarrow \mathbf{D}$ as follows.*

- $(VX)_d := V_d X_d: \mathbf{B}_d \xrightarrow{X_d} \mathbf{C}_d \xrightarrow{V_d} \mathbf{D}_d$ for all $d = 0, 1, 2$.
- $\eta_i^{VX} := \eta_{X(i)}^V \circ V\eta_i^X: VX(\mathbb{1}_i) \xrightarrow{V\eta_i^X} V(\mathbb{1}_{X(i)}) \xrightarrow{\eta_{X(i)}^V} \mathbb{1}_{(VX)(i)}$ for all $i \in \mathbf{B}_0$.
- $\theta_{b,a}^{VX} := \theta_{X(b), X(a)}^V \circ V\theta_{b,a}^X: VX(ba) \xrightarrow{V\theta_{b,a}^X} V(X(b) \circ X(a)) \xrightarrow{\theta_{X(b), X(a)}^V} VX(b) \circ VX(a)$ for all $(b, a) \in \text{com}(\mathbf{B})$.

Namely, $VX := ((V_0 X_0, V_1 X_1, V_2 X_2), (\eta_{X(i)}^V \circ V\eta_i^X)_{i \in \mathbf{B}_0}, (\theta_{X(b), X(a)}^V \circ V\theta_{b,a}^X)_{(b,a) \in \text{com}(\mathbf{B})})$.

Then the composite $\text{Colax}(\mathbf{B}, V)(X) := VX: \mathbf{B} \rightarrow \mathbf{D}$ is again a colax functor.

Proof. It is enough to verify the axioms (i) – (iv) in Definition 6.1.

(i) $((VX)_1, (VX)_2): \mathbf{B}(i, j) \xrightarrow{(X_1, X_2)} \mathbf{C}(X(i), X(j)) \xrightarrow{(V_1, V_2)} \mathbf{D}(VX(i), VX(j))$ is a functor for all $i, j \in \mathbf{B}_0$ as a composite of the functors (X_1, X_2) and (V_1, V_2) .

(ii) For each $a: i \rightarrow j$ in \mathbf{B} we have the following commutative diagram:

$$\begin{array}{ccccc}
 VX(a)\mathbb{1}_{VX(i)} & \xleftarrow{VX(a)\eta_{X(i)}^V} & VX(a)V(\mathbb{1}_{X(i)}) & \xleftarrow{VX(a)V(\eta_i^X)} & VX(a)VX(\mathbb{1}_i) \\
 & \searrow & \uparrow \theta_{X(a), \mathbb{1}_{X(i)}}^V & & \uparrow \theta_{X(a), X(\mathbb{1}_i)}^V \\
 & & V(X(a)\mathbb{1}_{X(i)}) & \xleftarrow{V(X(a)\eta_i^X)} & V(X(a)X(\mathbb{1}_i)) \\
 & & \searrow & & \uparrow V(\theta_{a, \mathbb{1}_i}^X) \\
 & & & & VX(a\mathbb{1}_i).
 \end{array}$$

The commutativity of the square follows from the axiom (iv) for θ^V . The remaining commutative diagram is obtained similarly. These two commutative diagrams verify the axiom (ii) of colax functors.

(iii) For each $i \xrightarrow{a} j \xrightarrow{b} k \xrightarrow{c} l$ in \mathbf{B} we have the following commutative diagram:

$$\begin{array}{ccccc}
 VX(cba) & \xrightarrow{V\theta_{c,ba}^X} & V(X(c)X(ba)) & \xrightarrow{\theta_{X(c), X(ba)}^V} & VX(c) \cdot VX(ba) \\
 \downarrow V\theta_{cb,a}^X & & \downarrow V(\mathbb{1}_{X(c)}\theta_{b,a}^X) & & \downarrow VX(c) \cdot V\theta_{b,a}^X \\
 V(X(cb)X(a)) & \xrightarrow{V(\theta_{c,b}^X \mathbb{1}_{X(a)})} & V(X(c)X(b)X(a)) & \xrightarrow{\theta_{X(c), X(b)X(a)}^V} & VX(c)V(X(b)X(a)) \\
 \downarrow \theta_{X(cb), X(a)}^V & & \downarrow \theta_{X(c)X(b), X(a)}^V & & \downarrow VX(c)\theta_{X(b), X(a)}^V \\
 VX(cb) \cdot VX(a) & \xrightarrow{V(\theta_{c,b}^X)VX(a)} & V(X(c)X(b))VX(a) & \xrightarrow{\theta_{X(c), X(b)}^V VX(a)} & VX(c) \cdot VX(b) \cdot VX(a),
 \end{array}$$

which verifies the axiom (iii) of colax functors.

(iv) Let $a, a': i \rightarrow j$; $b, b': j \rightarrow k$; $\alpha: a \Rightarrow a'$ and $\beta: b \Rightarrow b'$ be in \mathbf{B} . Then we have the following commutative diagram:

$$\begin{array}{ccccc}
 VX(ba) & \xrightarrow{V(\theta_{b,a}^X)} & V(X(b) \cdot X(a)) & \xrightarrow{\theta_{X(b), X(a)}^V} & VX(b) \cdot VX(a) \\
 \downarrow VX(\beta \cdot \alpha) & & \downarrow V(X\beta \cdot X\alpha) & & \downarrow VX\beta \cdot VX\alpha \\
 VX(b'a') & \xrightarrow{V(\theta_{b',a'}^X)} & V(X(b') \cdot V(a')) & \xrightarrow{\theta_{X(b'), X(a')}^V} & VX(b') \cdot VX(a'),
 \end{array}$$

which verifies the axiom (iv) of colax functors. □

Lemma 9.3. *Let $X, X': \mathbf{B} \rightarrow \mathbf{C}$ and $V: \mathbf{C} \rightarrow \mathbf{D}$ be colax functors and $(F, \psi): X \rightarrow X'$ a 1-morphism in $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C})$, and consider the diagram*

$$\begin{array}{ccc}
 VX(i) & \xrightarrow{VF(i)} & VX'(i) \\
 \downarrow \scriptstyle VX(a) & \searrow \scriptstyle \theta_{X'(a), F(i)}^V & \downarrow \scriptstyle VX'(a) \\
 & V(X'(a)F(i)) & \\
 & \swarrow \scriptstyle V\psi(a) & \\
 & V(F(j)X(a)) & \\
 \downarrow \scriptstyle \theta_{F(j), X(a)}^V & \searrow \scriptstyle VF(j) & \downarrow \scriptstyle VX'(j) \\
 VX(j) & \xrightarrow{VF(j)} & VX'(j).
 \end{array} \tag{9.3}$$

Assume that $\theta_{d,c}^V$ are isomorphisms for all $(d, c) \in \text{com}(\mathbf{C})$ (e.g., that V is a pseudofunctor). Then we can define a 1-morphism $\overleftarrow{\text{Colax}}(\mathbf{B}, V)(F, \psi) := V(F, \psi): VX \rightarrow VX'$ in $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$ by

$$\begin{aligned}
 V(F, \psi) &:= ((V(F(i)))_{i \in \mathbf{B}_0}, (\psi_V(a))_{a \in \mathbf{B}_1}), \text{ where for } a: i \rightarrow j \\
 \psi_V(a) &:= \theta_{F(j), X(a)}^V \cdot V(\psi(a)) \cdot \theta_{X'(a), F(i)}^V{}^{-1}.
 \end{aligned}$$

Proof. We set $X = (X, \eta, \theta)$ and $X' = (X', \eta', \theta')$ for short.

First, the functor $V_{12}: \mathbf{C}(X(i), X'(j)) \rightarrow \mathbf{D}(VX(i), VX'(j))$ sends the commutative square (6.1) to the commutative square (*) below

$$\begin{array}{ccccc}
 VX'(a) \cdot VF(i) & \xrightleftharpoons[VX'(\alpha) * VF(i)]{} & VX'(b) \cdot VF(i) \\
 \downarrow \scriptstyle \psi_V(a) & \swarrow \scriptstyle \theta_{X'(a), F(i)}^V & \searrow \scriptstyle \theta_{X'(b), F(i)}^V & \downarrow \scriptstyle \psi_V(b) \\
 & V(X'(a)F(i)) \xrightarrow{V(X'(\alpha)F(i))} V(X'(b)F(i)) & \\
 \text{(definition)} \downarrow \scriptstyle V(\psi(a)) & (*) & \downarrow \scriptstyle V(\psi(b)) \text{(definition)} \\
 & V(F(j)X(a)) \xrightarrow{V(F(j)X(\alpha))} V(F(j)X(b)) & \\
 \swarrow \scriptstyle \theta_{F(j), X(a)}^V & \text{(iv)} & \searrow \scriptstyle \theta_{F(j), X(b)}^V \\
 VF(j) \cdot VX(a) & \xrightleftharpoons[VF(j) * VX(\alpha)]{} & VF(j) \cdot VX(b),
 \end{array}$$

which is completed to the commutative diagram above. Hence the family $(\psi_V(a))_{a \in \mathbf{B}_1}$ has the property (0) of 1-morphisms in $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$ (Definition 6.1(4)).

(a) For each $i \in \mathbf{B}_0$ we have the following commutative diagram:

$$\begin{array}{ccccccc}
 VX'(\mathbb{1}_i) \cdot VF(i) & \xleftarrow{\theta_{X'(\mathbb{1}_i), F(i)}^V} & V(X'(\mathbb{1}_i) \cdot F(i)) & \xrightarrow{V\psi(\mathbb{1}_i)} & V(F(i) \cdot X(\mathbb{1}_i)) & \xrightarrow{\theta_{F(i), X(\mathbb{1}_i)}^V} & VF(i) \cdot VX(\mathbb{1}_i) \\
 \downarrow \scriptstyle V\eta'_i \cdot VF(i) & & \downarrow \scriptstyle V(\eta'_i \mathbb{1}_{F(i)}) & & \downarrow \scriptstyle V(\mathbb{1}_{F(i)} \eta_i) & & \downarrow \scriptstyle VF(i) \cdot V\eta_i \\
 V(\mathbb{1}_{X'(i)}) \cdot VF(i) & \xleftarrow{\theta_{\mathbb{1}_{X'(i)}, F(i)}^V} & V(\mathbb{1}_{X'(i)} \cdot F(i)) & \xrightarrow{\quad} & V(F(i) \cdot \mathbb{1}_{X(i)}) & \xrightarrow{\theta_{F(i), \mathbb{1}_{X(i)}^V}^V} & VF(i) \cdot V(\mathbb{1}_{X(i)}) \\
 \downarrow \scriptstyle \eta_{X'(i)}^V \cdot VF(i) & & & & & & \downarrow \scriptstyle VF(i) \cdot \eta_{X(i)}^V \\
 \mathbb{1}_{VX'(i)} \cdot VF(i) & \xlongequal{\quad} & & \xlongequal{\quad} & & \xlongequal{\quad} & VF(i) \cdot \mathbb{1}_{VX(i)},
 \end{array}$$

which verifies the axiom (a) of 1-morphisms.

(b) For each $i \xrightarrow{a} j \xrightarrow{b} k$ in \mathbf{B} we have the following commutative diagrams:

$$\begin{array}{ccccccc}
 & & V(\theta'_{b,a})VF(i) & & \theta_{X'(b),X'(a)}^V VF(i) & & VF(i) \\
 & & \Downarrow & & \Downarrow & & \\
 V X'(ba) \cdot VF(i) & \xrightarrow{\quad} & V(X'(b)X'(a)) \cdot VF(i) & \xrightarrow{\quad} & V X'(b) \cdot V X'(a) \cdot VF(i) & & \\
 \uparrow \theta_{X'(ba),F(i)}^V & & \uparrow \theta_{X'(b)X'(a),F(i)}^V & & \downarrow V X'(b) \theta_{X'(a),F(i)}^V \quad^{-1} & & \\
 & & & & V X'(b) V(X'(a)F(i)) & \xrightarrow{V(1_{X'(b)})V(\psi(a))} & V X'(b) \cdot V(F(j)X(a)) \\
 & & & & \uparrow \theta_{X'(b),X'(a)F(i)}^V & & \downarrow V X'(b) \cdot \theta_{F(j),X(a)}^V \\
 & & & & & & V X'(b) \cdot VF(j) \cdot V X(a) \\
 & & & & & & \downarrow \theta_{X'(b),F(j)}^V \quad^{-1} V X(a) \\
 V(X'(ba)F(i)) & \xrightarrow{V(\theta'_{b,a}F(i))} & V(X'(b)X'(a)F(i)) & \xrightarrow{V(X'(b)\psi(a))} & V(X'(b)F(j)X(a)) & \xrightarrow{\theta_{X'(b)F'(j),X(a)}^V} & V(X'(b)F(j))V X(a)
 \end{array}$$

and

$$\begin{array}{ccccccc}
 V(X'(ba)F(i)) & \xrightarrow{V(\theta'_{b,a}F(i))} & V(X'(b)X'(a)F(i)) & \xrightarrow{V(X'(b)\psi(a))} & V(X'(b)F(j)X(a)) & \xrightarrow{\theta_{X'(b)F'(j),X(a)}^V} & V(X'(b)F(j))V X(a) \\
 \downarrow V\psi(ba) & & & \downarrow V(\psi(b)X(a)) & & & \downarrow V(\psi(b))V X(a) \\
 V(F(k)X(ba)) & \xrightarrow{V(F(k)\theta_{b,a})} & V(F(k)X(b)X(a)) & \xrightarrow{\theta_{X'(b)F'(j),X(a)}^V} & V(F(k)X(b))V X(a) & & \\
 \downarrow \theta_{F(k),X(ba)}^V & & \downarrow \theta_{F(k),X(b)X(a)}^V & & \downarrow \theta_{F(k),X(b)}^V V X(a) & & \\
 VF(k) \cdot V X(ba) & \xrightarrow{VF(k) \cdot V\theta_{b,a}} & VF(k) \cdot V(X(b)X(a)) & \xrightarrow{VF(k) \cdot \theta_{X'(b),X(a)}^V} & VF(k) \cdot V X(b) \cdot V X(a) & &
 \end{array}$$

Glue these two diagrams together along the common row to get a large diagram, which verifies the axiom (b) of 1-morphisms. \square

Lemma 9.4. *Let $X, X': \mathbf{B} \rightarrow \mathbf{C}$ and $V: \mathbf{C} \rightarrow \mathbf{D}$ be colax functors, $(F, \psi), (F', \psi'): X \rightarrow X'$ 1-morphisms, and $\alpha: (F, \psi) \Rightarrow (F', \psi')$ a 2-morphism in $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C})$. Assume that all $\theta_{d,c}^V$ are isomorphisms (e.g., that V is a pseudofunctor). Then we can define a 2-morphism $\overleftarrow{\text{Colax}}(\mathbf{B}, V)(\alpha) := V\alpha: V(F, \psi) \Rightarrow V(F', \psi')$ in $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$ by*

$$V\alpha := (V\alpha_i)_{i \in \mathbf{B}_0}.$$

Proof. Let $a: i \rightarrow j$ be in \mathbf{B} . It is enough to show the commutativity of the following diagram:

$$\begin{array}{ccccccc}
 V X'(a) \cdot VF(i) & \xleftarrow{\theta_{X'(a),F(i)}^V} & V(X'(a)F(i)) & \xrightarrow{V(\psi(a))} & V(F(j)X(a)) & \xrightarrow{\theta_{F(j),X(a)}^V} & VF(j) \cdot V X(a) \\
 \downarrow V X'(a) \cdot V\alpha_i & & \downarrow V(X'(a)\alpha_i) & & \downarrow V(\alpha_j X(a)) & & \downarrow V\alpha_j \cdot V X(a) \\
 V X'(a) \cdot VF'(i) & \xleftarrow{\theta_{X'(a),F'(i)}^V} & V(X'(a)F'(i)) & \xrightarrow{V(\psi'(a))} & V(F'(j)X(a)) & \xrightarrow{\theta_{F'(j),X(a)}^V} & VF'(j) \cdot V X(a)
 \end{array}$$

Since $\alpha = (\alpha_i: F(i) \Rightarrow F'(i))_{i \in \mathbf{B}_0}$ is a 2-morphism in $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C})$, we have the commutative diagram

$$\begin{array}{ccc} X'(a)F(i) & \xRightarrow{\psi(a)} & F(j)X(a) \\ X'(a)\alpha_i \Downarrow & & \Downarrow \alpha_j X(a) \\ X'(a)F'(i) & \xRightarrow{\psi'(a)} & F'(j)X(a). \end{array}$$

This gives the commutativity of the central square of the diagram above by applying the functor (V_1, V_2) to it. The axiom (iv) of colax functors for V shows the commutativity of the remaining squares. \square

9.2. Proof of Theorem 6.5. By the three lemmas above we can define a correspondence

$$\overleftarrow{\text{Colax}}(\mathbf{B}, V)_{012}: \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C}) \rightarrow \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$$

sending i -cells to i -cells for all $i = 0, 1, 2$ preserving domains and codomains. It remains to define families $H = (H_X)_{X \in \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C})_0}$ and $\Theta = (\Theta_{F', F})_{(F', F) \in \text{com}(\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C}))}$ and to show that $\overleftarrow{\text{Colax}}(\mathbf{B}, V) := (\overleftarrow{\text{Colax}}(\mathbf{B}, V)_{012}, H, \Theta)$ becomes a pseudofunctor $\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C}) \rightarrow \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{D})$.

For each $X \in \overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C})_0$ we define $H_X: V(\mathbb{1}_X) \Rightarrow \mathbb{1}_{VX}$ by setting

$$H_X := (\eta_{X(i)}^V: V(\mathbb{1}_{X(i)}) \rightarrow \mathbb{1}_{VX(i)})_{i \in \mathbf{B}_0}.$$

Then H_X turns out to be a 2-morphism because by definitions of θ^V and η^V we have a commutative diagram

$$\begin{array}{ccc} VX(a) \cdot V(\mathbb{1}_{X(i)}) & \xRightarrow{(\theta_{X(a), \mathbb{1}_{X(i)}}^V)^{-1}} & V(X(a) \cdot \mathbb{1}_{X(i)}) = V(\mathbb{1}_{X(j)}X(a)) \xRightarrow{\theta_{\mathbb{1}_{X(j)}, X(a)}^V} V(\mathbb{1}_{X(j)}) \cdot VX(a) \\ \Downarrow VX(a) \cdot \eta_{X(i)}^V & & \Downarrow \eta_{X(j)}^V \cdot VX(a) \\ VX(a) \cdot \mathbb{1}_{VX(i)} & \xlongequal{\hspace{1.5cm}} & \mathbb{1}_{VX(j)} VX(a) \end{array}$$

for all $a: i \rightarrow j$ in \mathbf{B} . Note that H_X are isomorphisms because η_k^V are for all $k \in \mathbf{C}_0$.

For each $(F', F) \in \text{com}(\overleftarrow{\text{Colax}}(\mathbf{B}, \mathbf{C}))$, say $F: X \Rightarrow X'$ and $F': X' \Rightarrow X''$, we define $\Theta_{F', F}: V(F'F) \Rightarrow VF' \circ VF$ by setting

$$\Theta_{F', F} := (\theta_{F'(i), F(i)}^V: V(F'(i)F(i)) \rightarrow VF'(i) \cdot VF(i))_{i \in \mathbf{B}_0}.$$

Then $\Theta_{F', F}$ turns out to be a 2-morphism. Indeed, it is enough to show the commutativity of the diagram

$$\begin{array}{ccc} VX''(a) \cdot V(F'(i)F(i)) & \xRightarrow{\Psi(a)} & V(F'(j)F(j)) \cdot VX(a) \\ VX''(a) \cdot \theta_{F'(i), F(i)}^V \Downarrow & & \Downarrow \theta_{F'(j), F(j)}^V \cdot VX(a) \\ VX''(a) \cdot VF'(i) \cdot VF(i) & \xRightarrow{\Psi'(a)} & VF'(j)VF(j)VX(a) \end{array}$$

for all $a: i \rightarrow j$ in \mathbf{B} , where we set $V(F'F) = ((V(F'(i)F(i)))_{i \in \mathbf{B}_0}, (\Psi(a))_{a \in \mathbf{B}_1})$ and $VF' \cdot VF = ((VF(i) \cdot VF(i))_{i \in \mathbf{B}_0}, (\Psi'(a))_{a \in \mathbf{B}_1})$, namely

$$\begin{aligned}\Psi(a) &:= \theta_{F'(j)F(j), X(a)}^V \cdot V((F'(j) \cdot \psi(a)) \cdot V(\psi'(a) \cdot F(i)) \cdot (\theta_{X''(a), F'(i)F(i)}^V)^{-1} \\ \Psi'(a) &:= (VF'(j) \cdot (\theta_{F'(j), X(a)}^V \cdot V\psi(a) \cdot (\theta_{X'(a), F(i)}^V)^{-1}) \circ (\theta_{F'(j), X'(a)}^V \cdot V\psi'(a) \cdot (\theta_{X''(a), F'(i)}^V)^{-1} \cdot VF(i))\end{aligned}$$

for all $a: i \rightarrow j$ in \mathbf{B} . This follows from the coassociativity of V and the naturality of θ^V . Note that $\Theta_{F', F}$ are isomorphisms because $\theta_{b, a}^V$ are for all $a, b \in \mathbf{C}_0$.

Now the defining conditions of θ^V and η^V directly show that $(\overleftarrow{\text{Colax}}(\mathbf{B}, V)_{012}, H, \Theta)$ is a colax functor, hence a pseudofunctor because all H_X and $\Theta_{F', F}$ are isomorphisms. \square

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